

# Science and engineering of electrospun nanofibers for advances in clean energy, water filtration, and regenerative medicine

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**Abstract** Nanostructured materials with high aspect ratio and one-dimensional (1D) morphology are nature's choices when high degree of functional performances and flexible properties are concerned. Two examples are extracellular matrices in tissues of living organism, and light harvesting rods of the retina and chlorophyll. Electrospinning (E-spinning) is a simple processing technique that allows fabrication of high aspect ratio nanofibers (NFs) in a commercial scale. Electrospun nanofibers (E-spun NFs) combine a number of physical properties such as guided electron transport, strain-induced electronic properties, high mechanical strength, high degree of flexibility, large specific surface area, high electron and thermal diffusivity, and tailorable pore distribution. Our laboratory has been involved in fabrication of E-spun polymeric, inorganic, and polymer-nanocomposite fibers in random, aligned, cross-aligned, sheaths, tubes, yarns, core/shell, and trilayer morphologies. This article focuses on application of the E-spun fibers in the areas of clean energy, water treatment, and regenerative medicine in the authors' laboratory. In

addition, the article briefly reviews the progress made in these areas using E-spun NFs.

## Introduction

One-dimensional (1D) nanostructures are choice of the nature when high degree of functional performances and flexible properties are concerned as in extracellular matrices, neural networks, and light harvesting rods of the retina and chlorophyll. Fabrication of 1D structures of the new generation materials could, therefore, provide new opportunities to improve and/or modify performances of the advanced functional devices and structures. Electrospinning (E-spinning), which works under the principle of asymmetric bending of a charged liquid jet when accelerated by a longitudinal electric field, is a technique that allows fabrication of continuous NFs of polymers and advanced functional materials. In the E-spinning process, a polymer solution is injected from a needle in the presence of an electric field. When the applied electric field overcomes surface tension of the liquid, a continuous jet is ejected, which on subsequent solvent evaporation and bending produces NFs on a collector surface [1]. Figure 1 shows a schematic of the processes during E-spinning. Various fiber morphologies could be produced by E-spinning such as random, aligned, core-shell, bundles, and mats, and these are done by merely changing the collection and/or injection strategy [2–5]. If the polymer solution contains respective metal ions for forming an inorganic solid, then appropriate post-electrospinning heat treatment yields continuous inorganic NFs [6, 7].

The E-spinning as a method to produce continuous fibers has been known since 1902 [8]. Figure 2 shows the E-spinning timeline showing its evolution as an acceptable

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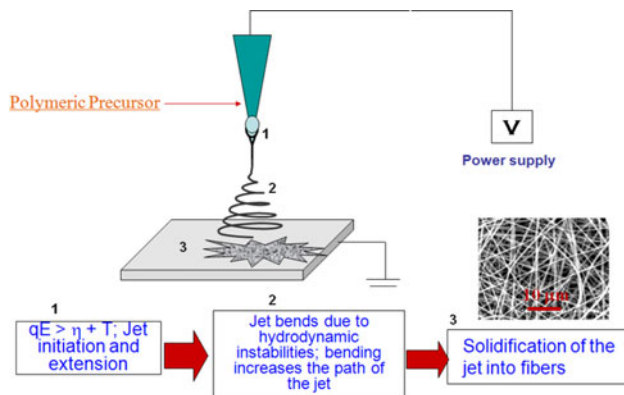
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technology since its inception. There is a revived interest in E-spinning in academia from mid-1990s with the advances in nanotechnology [9], which is possibly due to the potential of the process to fabricate 1D nanostructures of a wide range of materials systems.

E-spun NFs (NFs) have interesting physical characteristics: (i) high specific surface area and interesting pore distribution; (ii) polymer NFs are opaque due to high packing density of monomers; and (iii) metal oxide NFs are with controllable crystallinity and are characterized by

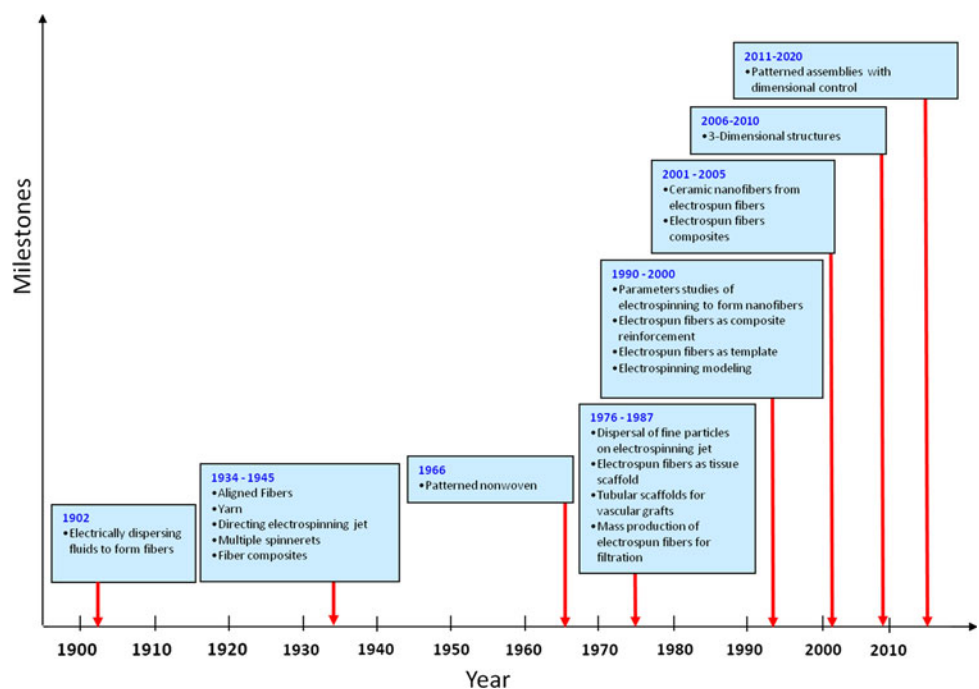


**Fig. 1** Schematics of the electrospinning (E-spinning) process. The experimental set-up consists of a high voltage power supply, a spinneret, and a collector. The three processes, viz., formation of tailor cone (1), bending due to various instabilities (2), and collection of solid samples (3) are shown. The  $qE$  is the electrostatic force,  $\eta$  is the viscosity, and  $T$  is the surface tension. Conventionally, E-spinning produces a fiber cloth consisting of randomly oriented nano/microfibers, a typical SEM image of which is also shown

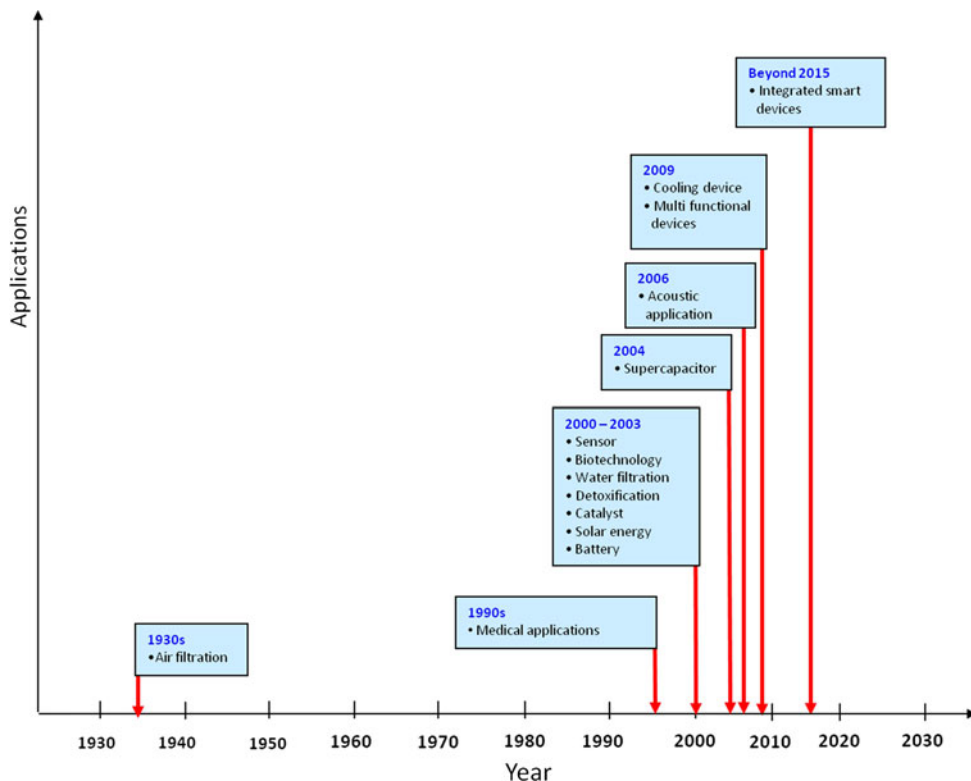
diameter-dependent strain. The E-spun NFs of lead zirconate titanate showed a piezoelectric response that is  $\sim 200$  times higher than that of corresponding single crystals [10]. High efficiency photovoltaic devices were also demonstrated using E-spun metal oxide NFs [11] in addition to study some of the factors affecting the conversion efficiency of dye-sensitized solar cells (DSCs) [12]. Owing to their high scientific and commercial potentials, E-spinning as a method for producing 1D nanostructures is gaining increased interest both in academia and industry. Applications envisaged using E-spun NFs since its discovery are summarized in Fig. 3.

Studies have been carried out in our laboratory to utilize E-spun NFs to address global challenges requiring immediate attention such as clean energy, water treatment, and regenerative medicine. This article is organized to provide an overview of advances in E-spinning and the application of NFs in the areas of photovoltaics, water filtration, and healthcare. “Electrospun nanostructures for energy conversion and storage” section of this article deals with application of E-spun  $\text{TiO}_2$  nanostructures in energy, both photovoltaic and lithium ion batteries (LIBs), starting from global energy scenario; “Nanofibers for water filtration” section focuses on the application of E-spun membranes for water filtration; and “Nanofibers for regenerative medicine” section concentrates on their applications in regenerative medicine. Notable drawbacks of E-spinning are also included. A brief summary, future prospects, and limitations are given at the end of each section.

**Fig. 2** Electrospinning timeline



**Fig. 3** Application domains where E-spun NFs could be used. Next generation devices employing E-spun NFs are predicted in the area of integrated smart devices making use of organic–inorganic interfaces and functional films



### Electrospun nanostructures for energy conversion and storage

#### Energy scenario

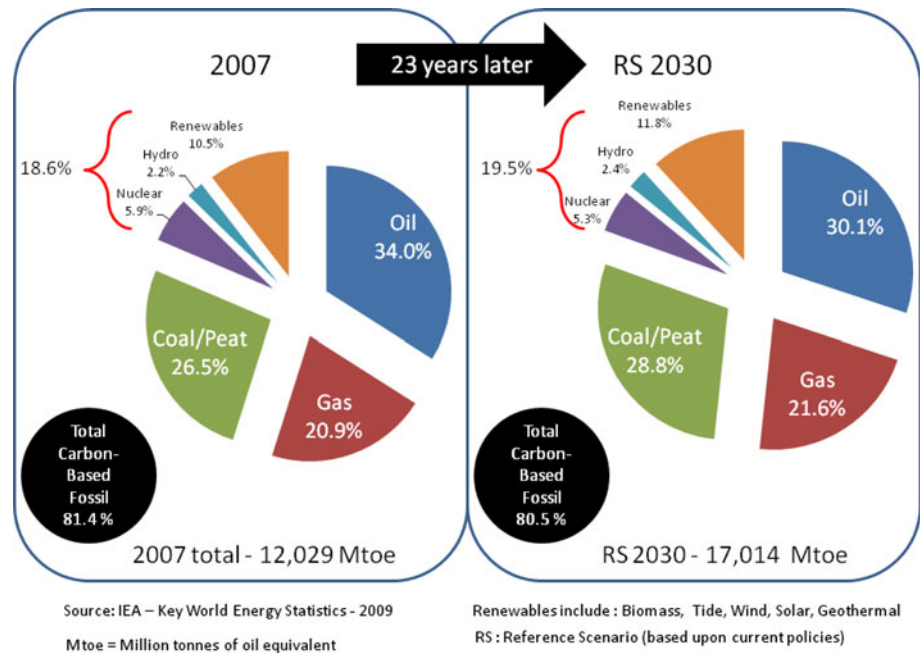
Nanostructured materials are characterized by large specific surface area which enables to reduce the quantity of materials required to fabricate a device. Moreover, they possess enhanced and/or modified physical properties that increase and/or modify the functionalities of the devices. These two factors, viz., lower material requirements and improved/modified functionality, combine to fuel the motivation of research on nanostructured materials for energy conversion.

Owing to an increasing population together with improved living standards expected for most people, energy consumption will increase drastically with time. Figure 4 is an excerpt from a book titled “Changing Face of Innovation: Is it Shifting to Asia” showing the energy supply and shares [13]. The modern society heavily relies on fossil fuels for electricity generation and transportation. Energy obtained from the combustion of fossil fuels leave us vulnerable to green house gasses, which cause severe health hazards, and global warming. The realization of these long-term ill effects made scientists to look for several clean energy conversion techniques some of which include nuclear energy, hydroelectricity, tidal energy, solar energy, and electrochemical energies. More solar energy

strikes the Earth in one hour ( $4.3 \times 10^{20}$  J) than all the annual human energy consumption ( $4.1 \times 10^{20}$  J) [14]. Harvesting this clean energy and converting into electrical power is the science and technology behind photovoltaics, which is an intensely and hotly pursued research topic in recent times.

Renewable energies are clean but are less convenient to use; the main constraint is that the energy sources such as solar light or wind are not always available. Energy consumption does not match with the availability of sun or wind; for example, energy demand is high after sunset to provide lighting. Therefore, renewable energy is featured with dual topic: energy generation and storage, i.e., energy is produced when possible and stored until it is needed. Rechargeable lithium batteries [15] and electrochemical double layer capacitors (supercapacitors) [16] are two protocols gaining recent attention to store energy with high power, capacity, and safety. The LIBs provide the highest energy density (150–200 Wh/kg), but at the expense of cycle life ( $<10^3$  cycles); whereas, supercapacitors exhibit the highest known power capability (2–5 kW/kg) and long cycle life ( $10^4$ – $10^6$  cycles), but suffer from a modest energy density (3–6 Wh/kg). The energy storage devices fabricated using nanostructured materials have higher capacities and rate capabilities due to their higher surface area than that of the bulk counterparts. In addition, the nanostructured materials reduce the overpotential and allow faster reaction kinetics at the electrode surface.

**Fig. 4** Fuel share for primary energy supply [13]. The figures were from those authors' study



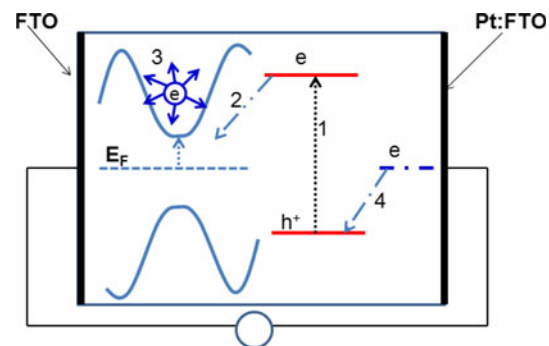
In the next sections, detailed descriptions on these two types of energy devices fabricated using E-spun NFs are given.

#### Solar energy conversion—the dye-sensitized solar cells

Currently available solar cells can be broadly classified into  $p$ - $n$  junction solar cells and excitonic solar cells (ESCs) [17]. In the  $p$ - $n$  junction cells, the photogenerated electrons are accelerated by a built-in electric field at the junction between the  $p$ - and the  $n$ -type semiconductors. In the ESCs, light absorption results in the generation of excitons, which are quasi particles with an electron in the conduction band (or the lowest unoccupied molecular orbital, LUMO, in the case of molecules and clusters) and a hole in the valence band (or the highest occupied molecular orbital, HOMO, in the case of molecules and nanoclusters). The excitons thus generated in a semiconductor are dissociated at a material interface into free carriers in excitonic solar cells. Popular examples of excitonic solar cells are the DSCs [18], organic solar cells [19], and quantum dot solar cells [20]. Organic solar cells employ conjugated conducting polymers and/or organic materials. The photovoltaic effect in DSC occurs at the interface between a dye-anchored wide bandgap oxide semiconductor and an electrolyte. In quantum dot solar cells, semiconductor nanocrystals with sizes less than their exciton's Bohr radius have been used to harvest light, i.e., the quantum dots simply replace dyes, so far.

The DSCs are fabricated by (i) sintering a wide bandgap metal oxide semiconductor, typically mesoporous  $\text{TiO}_2$ , on a conducting glass plate, usually fluorine-doped tin oxide

(FTO); (ii) dye anchoring on the sintered metal oxide layer; (iii) counter electrode fabrication, which is typically a Pt sputtered FTO; (iii) sealing; and (iv) electrolyte filling. The optimized processes for fabrication of highly efficient



**Fig. 5** Typical materials' arrangement and processes in DSCs. Light absorption by the dye results in an electron at the unoccupied molecular orbital of the dye leaving a hole at its occupied molecular orbitals (process 1). The photogenerated electrons are then injected to the metal oxide semiconductor (process 2) due to the overlapping of the wave functions [12] achieved through chemisorption of the dyes on the metal oxide. The photoelectrons received by the metal oxide cause its Fermi level to rise and subsequently result in photoconductivity. In metal oxides, the electron diffuses randomly; the surviving electrons are collected, and they use their energy to do an external work and then deliver them at the counter electrode, from where they are injected to the dye to neutralize the hole. Catalysts are used at the counter electrode, usually platinum, for faster regeneration of the dye. The random electron diffusion imposes severe restrictions on the scalability of the DSCs as the chances of collecting the electrons decreases with increase in the device area. One dimensional metal oxides are likely a remedy to increase the charge collection and scalability of the device

**Table 1** Solar energy conversion efficiencies of various solar cells at cell (area <1 cm<sup>2</sup>), submodule (area <25 cm<sup>2</sup>), and modules (area >50 cm<sup>2</sup>). The table is summarized from the recent photovoltaic update provided by Green et al. [24]

Type of cell	Description	Efficiency (%) at AM1.5 spectrum	
		Without concentrator [area (cm <sup>2</sup> )]	With concentrator [area (cm <sup>2</sup> ), intensity (suns)]
<i>p</i> – <i>n</i> (Single junction)	Silicon		
	Crystalline	25.0 ± 0.5 [4.00 (da)]	27.6 ± 1 [1.00 (da), 92]
	Polycrystalline	20.4 ± 0.5 [1.002 (ap)]	
	Amorphous	9.5 ± 0.3 [1.070 (ap)]	
	Nanocrystalline	10.1 ± 0.2 [1.199 (ap)]	
	GaAs		
	Thin film	26.1 ± 0.8 [1.001 (ap)]	28.8 ± 1.2 [0.0504 (da), 232]
	Polycrystalline	18.4 ± 0.5 [4.011 (t)]	
InP	Crystalline	22.1 ± 0.7 [4.02 (t)]	
	GaInP/GaAs/Ge	32.0 ± 1.5 [3.989 (t)]	40.7 ± 2.4 [0.267 (da), 240] 20.7 ± 1.5 [34 (da), 10]
Thin film	GaInP/GaAs	30.3 [4.0 (t)]	
	GaInP/GaInAs/Ge		41.1 ± 2.5 [0.0509 (da), 454]
Excitonic	CuInGaSe <sub>2</sub> (cell)	19.4 ± 0.6 [0.994 (ap)]	21.8 ± 1.5 [0.102 (da), 14]
	CuInGaSe <sub>2</sub> (submodule)	16.7 ± 0.4 [16.0 (ap)]	
	CdTe	16.7 ± 0.5 [1.032 (ap)]	
	Si	16.7 ± 0.4 [4.017 (ap)]	
	Si (submodule)	10.5 ± 0.3 [94.0 (ap)]	
Excitonic	Dye solar cells (cell)	11.2 ± 0.3 [0.219 (ap)]	
	Dye solar cells (submodule)	8.4 ± 0.2 [17.11 (ap)]	
	Organic polymer	5.15 ± 0.3 [1.021 (ap)]	
	Organic (submodule)	2.05 ± 0.3 [223.5 (ap)]	

Da designated illumination area, ap aperture area, t total area

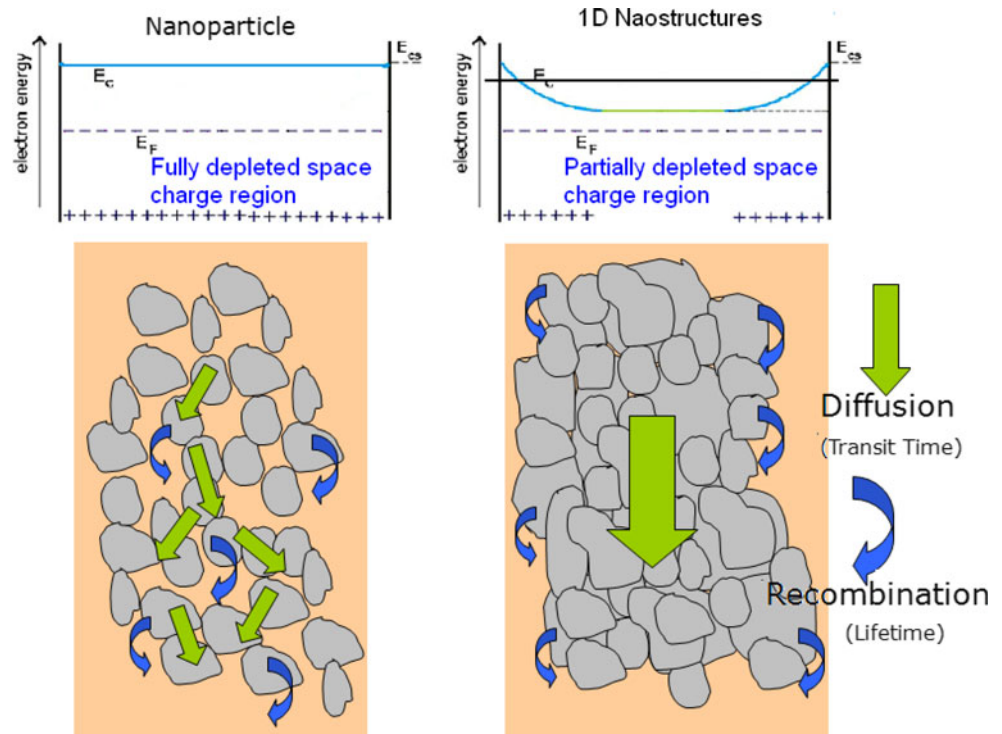
DSCs were recently published [21]. The reader may refer to the processes and rate limiters in DSCs in recent reviews [22, 23]. In brief, upon irradiation, the electrons in the dye are excited to the higher energy levels from where they are injected into the metal oxide (Fig. 5) due to the overlapping of their electronic wave functions. These electrons are transported through the metal oxide and collected by the FTO, which is coated with a thin layer ( $\leq 100$  nm) of TiO<sub>2</sub> that acts as blocking layer to the holes. Role of the electrolyte is two-fold: (i) regeneration of the dye, and (ii) blocking electrons from reaching the counter electrode. It should be noted that the photoconversion efficiency ( $\eta$ ) of DSCs is much higher than that of the other ESCs and *p*–*n* junction solar cells fabricated using amorphous or nanocrystalline silicon [24]. Certified  $\eta$  as high as 8.4% in submodules [25] (area  $\sim 18$  cm<sup>2</sup>) and 11.5% in laboratory scale (area  $\sim 0.22$  cm<sup>2</sup>) has been achieved using mesoporous TiO<sub>2</sub>-based DSCs [24, 26]. The DSCs have the potential for becoming cost-effective means for producing electricity, capable of competing with available solar electric technologies and, eventually, with today's

conventional power technologies [27]. An update of photovoltaic conversion efficiencies of various solar cells at single cell, submodule, and module levels with or without solar concentrators is summarized in Table 1.

#### Role of 1D nanostructures in DSCS

It is generally accepted that photoelectrons injected into mesoporous TiO<sub>2</sub> in the DSCs move via trap-limited diffusion process [28–34]; i.e., the electrons undergo a series of trapping and detrapping events as the energy states lie within the bandgap during diffusion. These inner-lying energy states are a characteristic of the nanomaterials which are arising from an increased number of defects such as packing defects, unsaturated bonds, and deviation from the bulk long-range order [22, 28, 35]. The trapping and detrapping events result in lower electron mobility ( $\mu_n$ ) and diffusivity (diffusion coefficient,  $D_n$ ) than the bulk materials and thereby increasing the diffusion time [28]. Longer diffusion time causes the electrons to undergo a series of recombination processes with electrolyte, oxidized dye,

**Fig. 6** Differences in the diffusion process between nanoparticles and 1D morphologies. The nanoparticles are too small to support macroscopic electric fields; therefore, the charge transport is diffusion limited. On the other hand, 1D nanostructures with solid cross section can have a partially depleted space charge region. Band edge type conduction, similar to bulk semiconductors, could be expected from the 1D nanostructure. Besides, sintering of mesoporous particles leaves pores that increases the recombination pathways which is reduced in 1D nanostructures

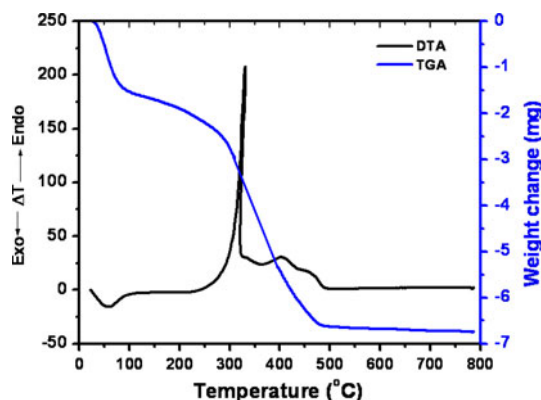


and phonon relaxation [22]. The distance traveled by the electrons before being recombined is known as the diffusion length,  $L = \sqrt{D_n \tau}$ , where  $\tau$  is the electron lifetime, which for conventional nanoparticle-based DSCs is  $L \sim 30 \mu\text{m}$  [36]. The film thickness should be  $\sim L/3$  for efficient charge collection.

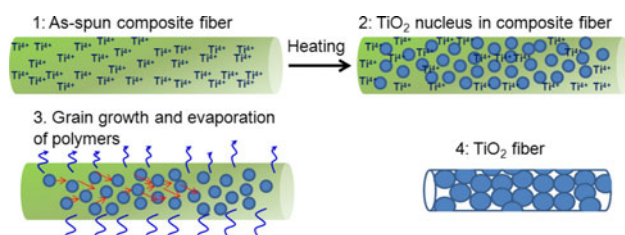
Hence, an increase in the nanoparticle film thickness significantly above  $10 \mu\text{m}$  does not increase the  $\eta$ . On the other hand,  $\eta$  of the DSCs could be increased by increasing the thickness of the mesoporous network using materials and architectures of higher  $D_n$ . As shown in Fig. 6, 1D structures, such as nanowires, nanotubes, nanorods, and NFs in the place of nanoparticles, have been proposed to have longer  $L$  due to the directed charge transport through them [37–42]. There are two types of these 1D nanostructures: (i) ordered nanotube or nanowire arrays vertically aligned on conducting glass substrates and (ii) random network of 1D nanostructures. While ordered structures are attractive in terms of the device performance parameters, such as diffusion length and time, their scalability is a major issue. On the other hand, disordered structures could be developed in large scale through nanofabrication techniques such as E-spinning.  $\text{TiO}_2$  is an archetypical nanostructure exploited for the DSC application; therefore, this article is restricted on the synthesis of 1D  $\text{TiO}_2$  nanostructures by E-spinning, characterization of the resulting nanostructures and evaluation of their properties, as well as device fabrication and testing.

#### *TiO<sub>2</sub> nanofibers by E-spinning*

The  $\text{TiO}_2$  NFs were first prepared using E-spinning by Li and Xia in 2003 [43]. Those authors have dispersed a sol of titanium isopropoxide [ $\text{Ti}(\text{iPrO})_4$ ] in poly(vinyl pyrrolidone) (PVP), and the resultant solution was E-spun to prepare polymeric NFs which upon heat treatment produced continuous NFs of  $\text{TiO}_2$ . The diameter of the final  $\text{TiO}_2$  NFs was controlled through varying the solution viscosity, solution feed rate, intensity of the electric field, and concentration of the  $\text{Ti}(\text{iPrO})_4$  precursor [43]. Decomposition of the composite fiber in which Ti ions are dispersed in PVP and crystallization behavior are determined by simultaneous differential thermal (DTA) and thermogravimetric analysis (TGA) (Fig. 7). The thermal analyses showed an exothermic event in the DTA curve and a weight loss ( $\sim 20\%$ ) in the TGA curve at  $\sim 60^\circ\text{C}$ . These events result from the liberation of surface-adsorbed ethanol. Although the bending instabilities during the E-spinning increases the jet path length enormously which helps in solvent evaporation and subsequent solidification, a small amount of solvent is expected to adhere to the surface. Following the exothermic event at  $60^\circ\text{C}$ , a major endothermic event and substantial weight loss (35%) were observed at  $\sim 300^\circ\text{C}$ , which results from crystallization of the anatase phase and/or decomposition of the polymer. Wang et al. [44] showed that crystallization of  $\text{TiO}_2$  in E-spun PVP occurs at  $\sim 210^\circ\text{C}$  using 1-tetra-*n*-butyl



**Fig. 7** Simultaneous thermal analysis of the composite fiber where a sol for TiO<sub>2</sub> is uniformly dispersed in PVP



**Fig. 8** Cartoon depicting the nucleation and growth of pure TiO<sub>2</sub> fiber from (1) a composite fiber in which titanium ions are dispersed; (2) heating at a critical temperature leads to a threshold supersaturation for nucleation of TiO<sub>2</sub>—nucleation of the crystals relieves the supersaturation of monomers; (3) the crystal grows from the available monomers, and the grains undergo a directional mass transport with simultaneous evaporation of polymers; and (4) the crystals ultimately form pure TiO<sub>2</sub> NFs. Although the E-spinning is a top-down approach for nanofabrication, the formation of an inorganic species from the polymeric fiber follows bottom-up principles

titanate as the titanium precursor; therefore, the present assignment is acceptable. Two more endothermic events are observed in the DTA curve, which are believed to arise due to the complete decomposition of the leftover polymer and grain growth, respectively.

From the observed thermal analyses' curves, formation of metal oxides from the composite polymer on heat treatment involve at least three processes, viz. (i) nucleation of the crystals, (ii) growth, and (iii) directional mass transport, in other words, sintering of the grains. The first two processes typically occur during growth of nanocrystals from solutions. These processes are schematically shown in Fig. 8.

Mesoporous TiO<sub>2</sub> NFs with high specific BET surface area ( $\sim 200$  m<sup>2</sup>/g) using a triblock co-polymer, pluronic P-123, have also been produced by E-spinning [45, 46]. Nuansing et al. [47] systematically studied the growth of TiO<sub>2</sub> as well as phase transition from anatase to rutile. They observed that the anatase to rutile transition occurs at

temperatures  $<600$  °C. Kumar et al. [48] showed that lowering of the anatase-to-rutile phase transition is a function of fiber diameter and arises from the increased strain due to the capillary forces. All the above studies used conventional random E-spun fiber morphology. Fabrication of well-aligned TiO<sub>2</sub> NFs was reported using near field E-spinning [49]. Recent articles of E-spun TiO<sub>2</sub> NFs or their composites with other materials have focused on the application potential of this morphology. These areas include sensing [50–53], lithium-ion batteries [54, 55], catalysis [56–59], and DSCs [12, 41, 60–66].

Morphology of the annealed TiO<sub>2</sub> fibers examined by field emission scanning electron microscope (SEM) and high resolution transmission electron microscope (HRTEM) is shown in Fig. 9. The NFs maintained cross-sectional uniformity throughout the length indicating a smooth injection of fine TiO<sub>2</sub> sol dispersed in the polymer matrix.

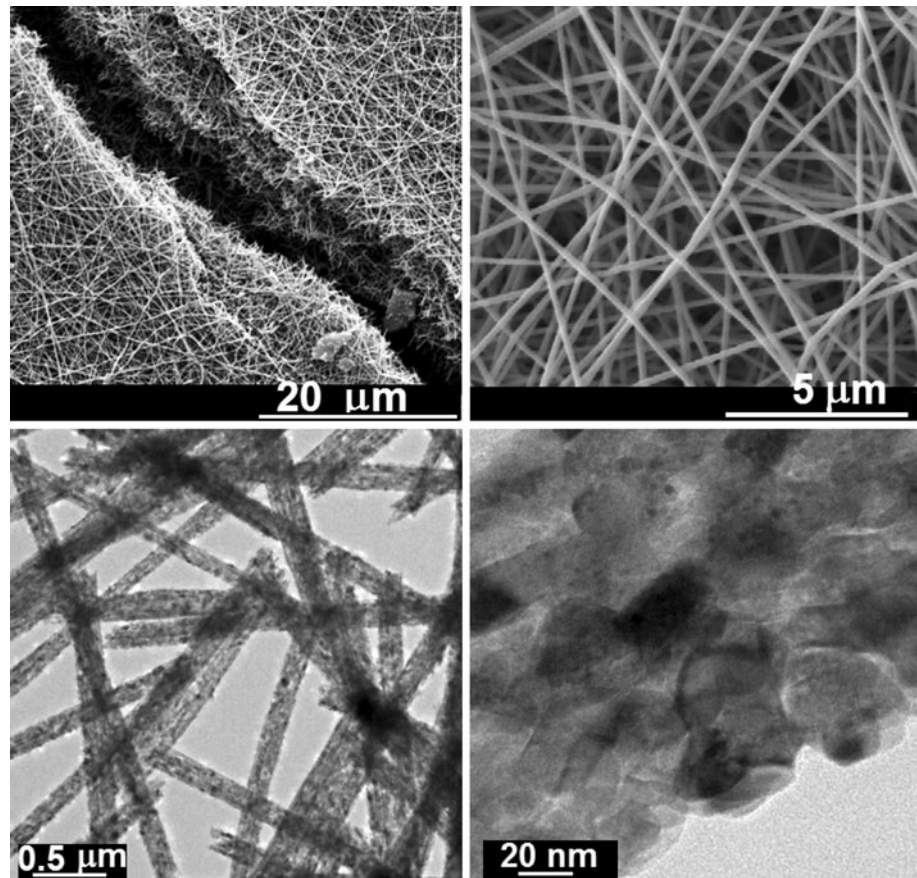
One of the most attracting properties of the E-spun NFs is its viability to tailor the crystallinity by controlling the solution chemistry for E-spinning [63] or heat treatment without disturbing the fiber diameter [67]. As majority of the application areas of the E-spun TiO<sub>2</sub> NFs, such as sensors, solar cells, and batteries, depend on the electrical resistance, the crystallinity (i.e., particle size) of the fibers is of crucial importance. Reduced crystallinity increases the grain boundaries from where electrons scatter additionally and thereby increases the electrical resistance. Figure 10 shows the HRTEM images and selected area diffraction patterns of three typical TiO<sub>2</sub> NFs of radius  $\sim 150$  nm, which display the difference in crystallinity due to heat treatment.

#### Crystal structure evolutions in E-spun TiO<sub>2</sub> nanofibers

The powder X-ray diffraction (XRD) and selected area electron diffraction (SAED) techniques are powerful tools to identify the crystal structure of the materials. Figure 11 shows the phase evolution of E-spun TiO<sub>2</sub> NFs as a function of heat treatment. No XRD peaks were detected for the as-spun polymeric fibers [48]. Well-crystallized pure anatase TiO<sub>2</sub> NFs without any polymeric part were obtained by heating the as-spun fibers at  $\sim 500$  °C for  $\sim 60$  min. The lattice parameters of the anatase phase were  $a = 3.784$  Å and  $c = 9.514$  Å, which are close to the earlier reported values [68].

The rutile phase was observed to nucleate and grow in the fiber at temperatures at  $\sim 550$  °C. The lattice parameters calculated from the rutile phase were  $a = 4.594$  Å and  $c = 2.959$  Å, which are also close to the earlier reported values [69]. Lowering of anatase-to-rutile phase transition temperature ( $T_a$ ) was observed compared to the one observed for nanoparticles [70–73], which is assigned to

**Fig. 9** Top panel: SEM micrograph of the TiO<sub>2</sub> NFs showing layers of fiber sheet and magnified portion displaying the continuous morphology. Bottom panel: TEM images showing the grain arrangements and interparticle porosity



the increased capillary forces acting on the fiber surface [48]. The fibers heated up to 650 °C had the presence of anatase phase although the volume fraction is less than 5%.

#### *Fabrication of DSCs using E-spun TiO<sub>2</sub> nanofibers*

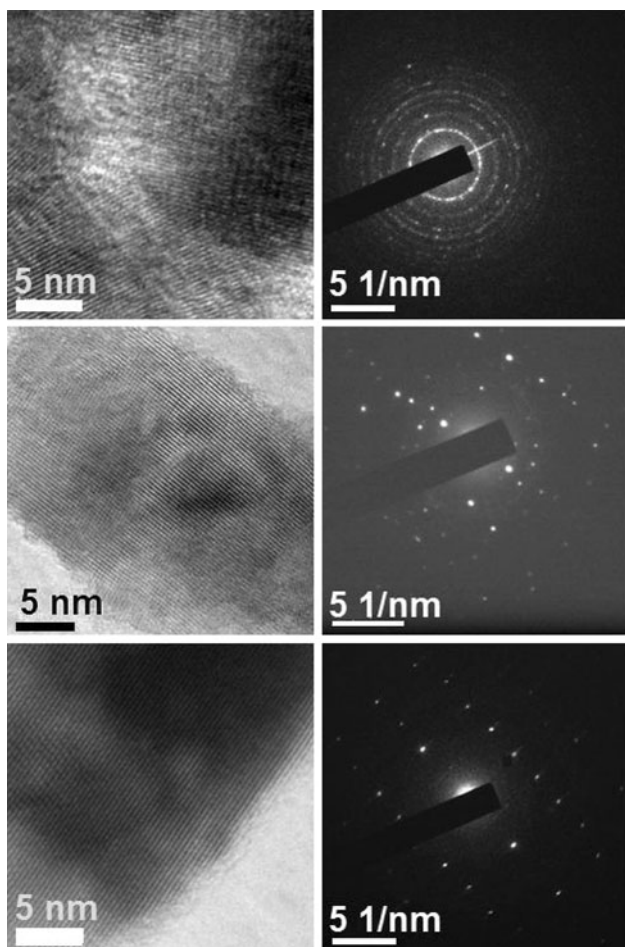
Initial attempts to fabricate DSCs using E-spun TiO<sub>2</sub> NFs were directed to develop the composite polymeric NFs films on FTO and subsequent sintering. One of the crucial problems in this protocol was the development of films with appreciable thickness ( $\geq 10 \mu\text{m}$ ). This is because the E-spun metal oxide NFs suffer a volume decrease due to the evaporation of polymers. The final TiO<sub>2</sub> film shrinks as a result of this volume change and peels off from the substrate.

**Continuous TiO<sub>2</sub> nanofibers on FTO** Song et al. [74] fabricated fibrous films of polyvinylacetate (PVAc) containing Ti<sup>4+</sup> by E-spinning on FTO, and the resulting films were partially dissolved using tetrahydrofuran (THF) before sintering. The sintered films showed conventional E-spun web-like fibrous structure; however, fibers had core-sheath structure similar to multi-strand electrical wires. The core-sheath fiber formation was explained as due to phase separation during gelation in the presence of moisture. The TiO<sub>2</sub> sol precursor is converted to TiO<sub>2</sub> gel

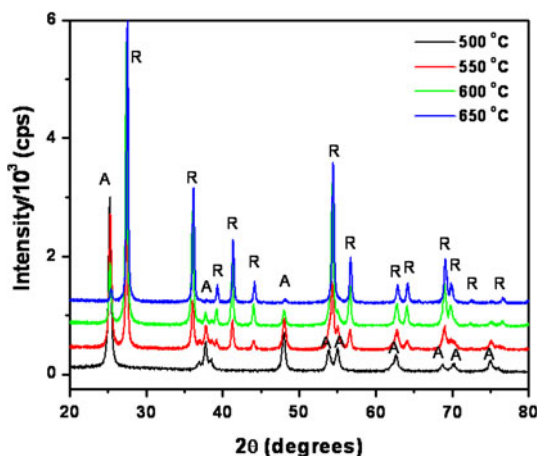
when the E-spun fibers were exposed to moisture. The solidification occurred faster in the sheath, than in the core, in which the microphase separation between TiO<sub>2</sub> sol and PVAc solution occurred during solidification. Considerable film thickness ( $\sim 20 \mu\text{m}$ ) was achieved using this technique. The DSCs fabricated using the above electrode, N3 dye, and LiI/I<sub>2</sub> electrolyte gave the following photovoltaic properties: open circuit voltage ( $V_{\text{OC}}$ ) of  $\sim 0.77 \text{ V}$ ; current density ( $J_{\text{SC}}$ ) of  $\sim 8.67 \text{ mA/cm}^2$ ; fill factor (FF) of  $\sim 60\%$ ; and  $\eta \sim 4.01\%$ . This team of authors has further improved the performance of DSCs by giving an additional hot pressing during the TiO<sub>2</sub> film fabrication stage. A record  $\eta \sim 6.2\%$ —with  $V_{\text{OC}} \sim 0.70 \text{ V}$ ;  $J_{\text{SC}} \sim 14.77 \text{ mA/cm}^2$ ; and FF  $\sim 60\%$ —was achieved in E-spun TiO<sub>2</sub>-based quasi-solid-state DSCs [63]. Although the original web structure of the as-spun fibers was retained in the hot-pressed fiber film, each fiber was composed of TiO<sub>2</sub> nanofibrils of several tens of nanometer length. Very recently, this group of authors reported  $\eta$  of  $\sim 11\%$  similar to the conventional nanoparticulate-based DSCs optimizing the nanorod formation, purified dyes, and electrolyte composition [11].

Onozuka et al. [62] further optimized the amount of THF and dimethylformamide (DMF) and studied the effect of processing conditions on the photovoltaic parameters. The additional treatments of the pre-deposited





**Fig. 10** HRTEM images and SAED patterns displaying increase in crystallinity of the TiO<sub>2</sub> NFs when the fibers are heated for prolonged duration. The figures were from those authors’ study and reproduced from J Phys Chem C 113:21538, 2009 with permission



**Fig. 11** XRD patterns showing the evolution of anatase and rutile phases. The A’s in the figure indicate the anatase phase, and the R’s indicate the rutile phase

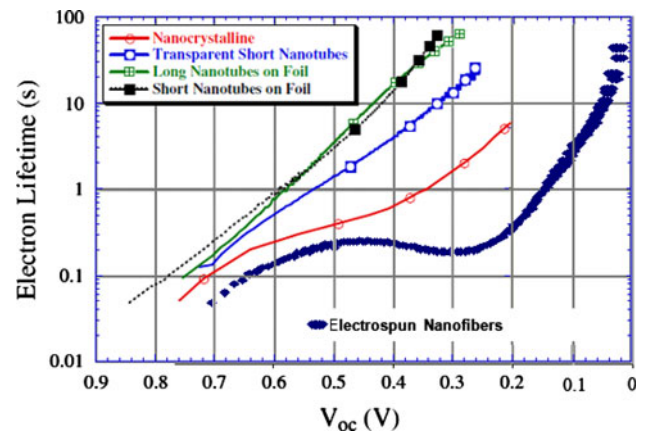
TiO<sub>2</sub> film and the adhesion treatment using DMF were shown to be efficient for improving the photoelectric characteristics of the DSCs. A high  $J_{SC}$  of  $\sim 9.88 \text{ mA/cm}^2$  and  $\eta \sim 4.14\%$  were obtained for relatively lower TiO<sub>2</sub> film thickness ( $\sim 3.9 \mu\text{m}$ ). Kokubo et al. [65] studied the dependence of pressure used during the hot-pressing stage on the photovoltaic parameters. The DSCs fabricated using TiO<sub>2</sub> films of thickness  $\sim 9.2 \mu\text{m}$  obtained by pressing the composite fiber films at 8 MPa, N3 dye, and LiI/I<sub>2</sub> electrolyte gave  $V_{OC} \sim 0.73 \text{ V}$ ,  $J_{SC} \sim 16.09 \text{ mA/cm}^2$ , FF  $\sim 49\%$ , and  $\eta \sim 5.77\%$ . A high  $J_{SC}$  observed in this study was a result of an enhanced BET surface area ( $\sim 100 \text{ m}^2/\text{g}$ ) of the resulting films. Rui et al. [75] fabricated TiO<sub>2</sub> NFs films on FTO by directly E-spinning the polymeric solution on in situ-heated FTO and subsequent sintering. A thickness of  $\sim 1 \mu\text{m}$  was achieved by repeating the above E-spinning–sintering steps for a number of times. Increasing the fiber thickness caused the NF films to peel off from the substrate. The solid-state DSCs fabricated using N719 dye anchored to the TiO<sub>2</sub> electrode and poly(3-hexylthiophene-2,5-diyl) (P3HT) as the hole-conductor gave  $J_{SC}$  of  $\sim 1.3 \text{ mA/cm}^2$  and  $\eta$  of  $\sim 0.3\%$ .

Shim et al. [76] fabricated aligned and cross-aligned TiO<sub>2</sub> NFs on FTO by E-spinning and fabricated solid-state DSCs using poly[2-methoxy-5-(2'-ethyl-hexyloxy)-1,4-phenylene vinylene] (MEH-PPV) and poly(3,4-ethylenedioxythiophene)–poly-(styrenesulfonate) (PEDOT–PSS). The  $J_{SC}$  ( $\sim 1.2 \text{ mA/cm}^2$ ) and  $\eta$  ( $\sim 0.5\%$ ) of the aligned and cross-aligned NFs were 50% higher than that of the random NFs. It was concluded that the power conversion efficiency could be significantly improved by at least 70% under one sun condition depending on the degree of aligning TiO<sub>2</sub> nanowire arrays through enhancing charge collection and transport rate, as well as facilitating the polymer infiltration as compared to the random counterparts. Despite this promising result, no further efforts could be found in the published literature on the use of aligned NFs for DSC applications.

*Charge transport through continuous TiO<sub>2</sub> nanofibers on FTO* There are two types of charge movement in DSCs, viz. (i) charge transport, which refers the motion of charge through a single chemical species such as TiO<sub>2</sub> and (ii) charge transfer, which is charge motion through an interface. This article focuses only on charge transport through the E-spun NFs. A large number of factors affect the charge transfer in DSCs, which is the subject of a recent review [23]. Mukherjee et al. [41] recently reported charge transport behavior through random NF DSCs. The device was prepared by developing continuous TiO<sub>2</sub> NFs on FTO substrates duly spun coated with a thin ( $\sim 100 \text{ nm}$ ) layer of TiO<sub>2</sub> nanoparticles and subsequent sintering. The TiO<sub>2</sub> NFs were polycrystalline with diameter  $\sim 150 \text{ nm}$  composing of

particles of size  $\sim 12\text{--}15$  nm. The  $\eta$  of the resulting device was 4.2% with  $J_{\text{SC}} \sim 9.45$  mA/cm<sup>2</sup>,  $V_{\text{OC}} \sim 0.78$  V, and FF  $\sim 57\%$ . The electrochemical impedance spectroscopic (EIS) investigations of the above cell revealed that the charge transport resistance of the NF samples is lesser compared to the spherical nanoparticles. The lower transport resistance could be attributed to the one-dimensionality of the NFs. However, the  $D_n$  of the continuous NFs was slightly lesser than that of the random nanoparticles reported by Wang et al. [77]. The lowering of the  $D_n$  followed from longer transit time ( $\sim 100$  ms). It means that the enhanced charge collection due to the continuous NFs that are parallel to the substrate effectively reduced the  $D_n$  despite of its lower transport resistance. However, inferior crystallinity of the TiO<sub>2</sub> films adversely decreased the electron lifetime; and therefore, increased the recombination pathways in the NF cell. According to the transmission line model, charge transfer resistance and capacitance indicate the rate of recombination with the electrolyte. The charge transfer resistance of the NF-based cell was lower compared to the nanoparticle-based cell. The capacitance of the NF-based cell was nearly an order of magnitude higher than that of the nanoparticle cell. The E-spun NFs have a diameter large enough to support a radial electric field and thus should exhibit space charge capacitance in parallel with the capacitance from electronic states. The decreased charge transport resistance was explained as a consequence of this space charge-depleted region [67].

The electron lifetime measurement using EIS and  $V_{\text{OC}}$  decay (OCVD) measurements showed that the charge transport mechanism through NF DSCs is similar to that through nanoparticle-based ones, i.e., by trapping–detrapping mechanism. The OCVD measures the electron lifetime as a function of Fermi level or  $V_{\text{OC}}$  [34, 78]. Figure 12 shows the OCVD curve of the NF-based DSCs [41] compared to that of the nanoparticles [34] and other 1D nanostructures such as TiO<sub>2</sub> nanotubes [39]. While the electron lifetime showed a linear dependence on quasi-Fermi level in single crystalline nanotubes [39], it showed an exponential dependence in nanoparticles and E-spun NFs indicative of the trap-limited diffusion process. A clear increase in electron lifetime was observed in the NF-based cell whose magnitude was larger than the corresponding event in the nanoparticle-based cell. This increase in lifetime is due to high density of trap states in the nanocrystalline materials; the mobile electrons live longer times in the trap states and directly undergo recombination before they are detrapped by thermal excitations. Consequently, the conversion efficiency decreases. It is worth noting here that Song et al. reported relatively higher efficiency in single crystalline nanorods [11, 63]. Most frequently used method for improving the crystallinity is by heat treatment for longer duration; however, this would lead to an increase in the sheet



**Fig. 12** The OCVD curves for various nanostructures. The OCVD curve of the NFs is from this study, whereas the others are reproduced from J Phys D Appl Phys 39:2498–2503, 2006 with permission

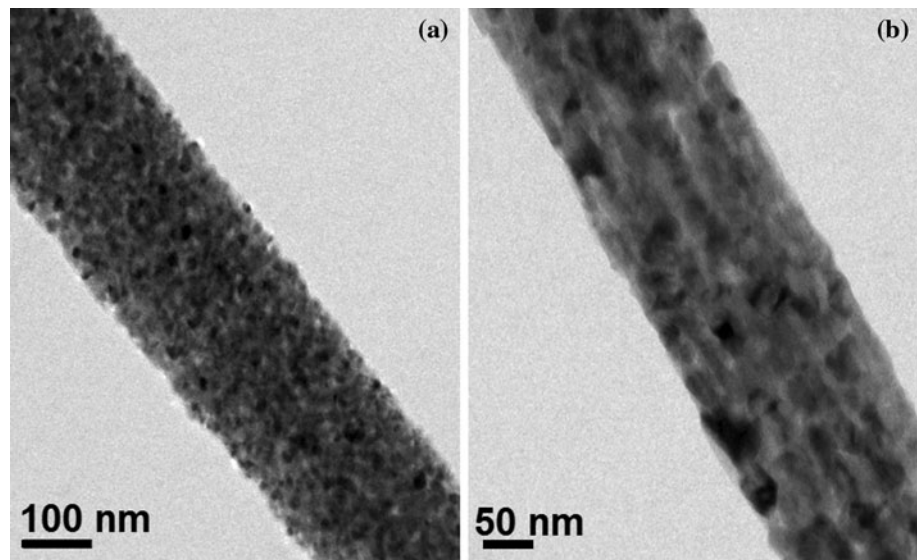
resistance, as the E-spun NFs deposited on FTO, if heated for extended duration, ultimately increases the series resistance and loss of efficiency.

#### *Ex-situ annealed fibers on FTO*

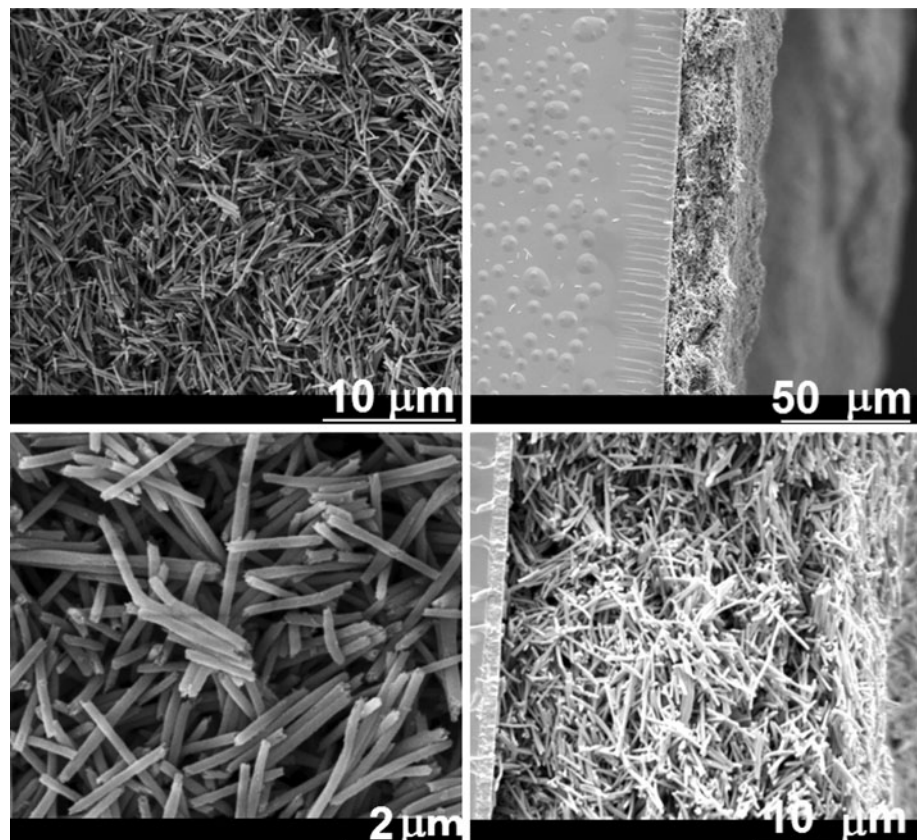
In order to increase the crystallinity and thereby improve the charge transport, we have developed methods for deposition of the ex-situ-annealed NFs on FTO [61, 67]. In this method, the E-spun NFs were heated for longer duration and then either were mechanically ground to short nanorods (NRs) (aspect ratio  $\sim 1:3$ ) [61] or ultrasonically dispersed in a solution to nanowires (NWs, aspect ratio  $\sim 1:10$ ) [67]. These NWs and NRs were developed into a film on FTO by conventional film fabrication processes such as spray deposition or doctor blade technique [12, 60, 61, 67]. As shown in Fig. 13, no appreciable change in the fiber diameter was observed when prolonging the heating; however, their crystallinity could be greatly increased. The crystallinity of the fibers increased considerably due to grain growth from  $\sim 10\text{--}15$  nm (1 h) to  $25\text{--}50$  nm (24 h), which was well reflected in the SAED patterns and HREM images. Microstructure of typical NWs obtained using the annealed fibers after ultrasonication are in Fig. 14.

The ex-situ annealing and subsequent film fabrication have several advantages compared to those using direct spinning of film on FTO; most important one is the viability for large area of deposition of thicker films (thickness  $>10$   $\mu\text{m}$ ) with higher degree of uniformity. In addition, tailoring the charge transport properties is possible by controlling the aspect ratio of the fibers. With regard to the charge transport properties, Archana et al. [67] recently showed enhanced  $D_n$  in NW films fabricated using ex-situ-annealed TiO<sub>2</sub> NFs compared to those of the continuous NFs. Furthermore, the  $D_n$ s of the 24-h heated samples determined using the transient photocurrent measurements

**Fig. 13** TEM images of the  $\text{TiO}_2$  NFs sintered at  $500^\circ\text{C}$  for **a** 1 h and **b** 24 h. The figures are from those authors' study and reproduced from J Phys Chem C 113:21538, 2009 with permission



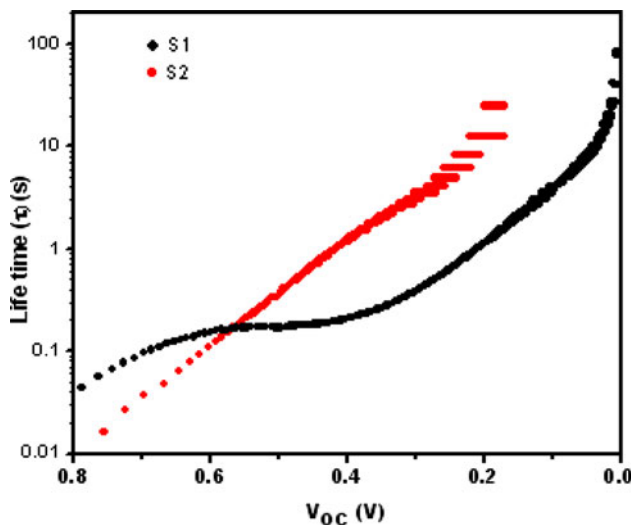
**Fig. 14** SEM images of the NWs obtained by ultrasonically dispersing the  $\text{TiO}_2$  NFs in acetic acid for 30 min when thick films developed using the NW paste [67] (*top panel*). *Bottom panel* displays the magnified images of the corresponding samples. The figures were from those authors' study and reproduced from J Phys Chem C 113:21538, 2009 with permission



[67] were several orders of magnitude higher than those determined for conventional nanoparticles [79].

Figure 15 shows the OCVD curves displaying the electron lifetime as a function of  $V_{\text{OC}}$  for 1-h and 24-h heated samples. Shape of the OCVD curve for 1-h heated sample shows a dependence on the quasi-Fermi level

confirming a trap-assisted conduction mechanism similar to those of nanoparticles. Similar OCVD was reported for E-spun continuous NFs directly spun on FTO followed by annealing for 1 h [41]. The depression seen in the curve at around 0.3 V indicates the presence of surface trap states that could result in recombination of electrons with the



**Fig. 15** The OCVD curve measured for the samples heated for 1 h (black dots) and 24 h (red dots). The figures were from those authors' study and reproduced from J Phys Chem C 113:21538, 2009 with permission

electrolyte through tunneling. Furthermore, the strength of deviation from linear mode suggests a high rate constant for such recombination. Interestingly, the dependence of lifetime on  $V_{OC}$  of 24-h heated samples was found to be linear indicating the removal of the surface traps. The DSCs with  $\eta \sim 5.8\%$  were reported using ex-situ-annealed samples [60].

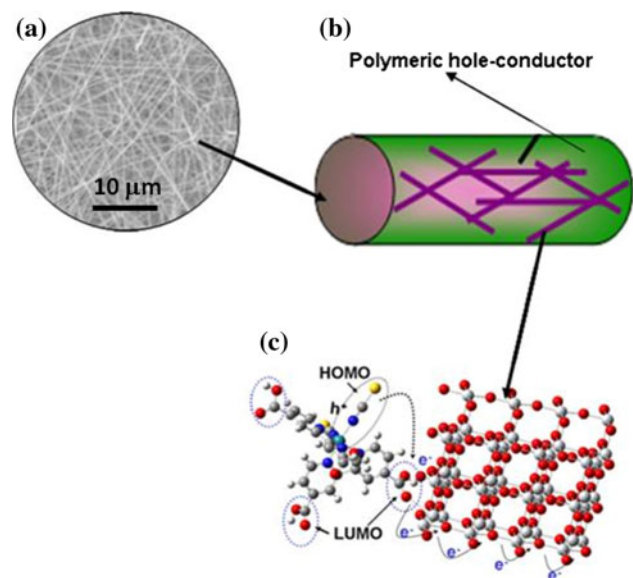
The above mentioned efforts on fabricating E-spun  $\text{TiO}_2$  NF/wire/rod DSCs require the use of an adhesion layer, hot-pressing, and  $\text{TiCl}_4$  treatments to realize high efficiencies. We have developed a simple procedure based on a Pechini-type sol of E-spun  $\text{TiO}_2$  NRs to realize high efficiency in the DSCs without the need for the above mentioned steps [80]. The E-spun fibers were ground to NRs and mixed with a suitable amount of polyester, and sonicated for  $\sim 12$  h. The side carboxylic acid groups of the polyester would chemically bind to the  $\text{TiO}_2$  surfaces which results in a Pechini-type sol ideal for doctor blading. The sol was doctor-bladed to a thickness of  $\sim 15 \mu\text{m}$  on an FTO and annealed at  $450^\circ\text{C}$  for 1 h, when the polymer evaporated giving a highly porous (high inner porosity) NRs network ideal for excellent anchoring of the sensitizer. The best DSCs fabricated on an area of  $0.28 \text{ cm}^2$  by the methodology gave  $\eta \sim 4.3\%$ , and an incident photon-to-electron conversion efficiency (IPCE) of 50%. Details of the charge transport mechanism analyzed through impedance measurements showed a lower charge transport resistance (0.81 V), and hence, a good fill factor (62%). More studies on increasing the specific surface area while keeping the higher charge transport properties of the ex-situ-annealed NRs and NWs are currently underway at our laboratory.

### Photovoltaic fibers by electrospinning—solar cloths

Development of DSC and polymer-based photovoltaic fibers (PVFs) is a challenging research topic of current interest as these find extensive applications in smart textiles, medical field, sensors industries, etc. Powering wearable and implantable electronic devices in fabrics and sensors is still a daunting task. Several research efforts were directed toward the development of PVFs. Photoelectric response of E-spun NFs consisting of  $\text{TiO}_2$  nanoparticles, phthalocyanine, N3, Azo, and Congo red dyes in polyacrylonitrile matrix was reported by Senecal et al. [81, 82]. The E-spun membranes with the azo dye and Congo red showed photoelectric response with the short-circuit current of the order of several  $\text{nA/cm}^2$ .

We propose that the use of 1D NWs in place of nanoparticles would enhance the photoconversion efficiency. Efficiency of photovoltaic conversion depends on the degree to which the two species, i.e., dye-anchored semiconductor and the polymeric hole-conductor, form a percolating network in addition to the efficiency of charge transport and collection. Figure 16 shows a schematic of this concept.

Owing to their large aspect ratio, NWs are expected to have better connectivity and percolation behavior; and



**Fig. 16** Concept of photovoltaic fibers. The PVFs are visualized as a non-woven fiber cloth (a). The fiber is a percolating network of 1D nanostructures such as nanowires in a polymeric hole-conducting medium (b). Organic fluorophores such as dyes or semiconducting quantum dots could be anchored to the nanowires to widen the absorption wavelength window (c). Light absorption by the fluorophores leads to excitation of electrons to unoccupied molecular orbitals which are then injected to the conduction band of the wide bandgap metal oxide semiconductor; the oxidized fluorophores are regenerated by a hole conductor

therefore, NWs were used in this study as electron transport medium. Moreover, NWs have higher electron diffusion coefficients. We note that no photocurrent was observed when dye-anchored nanoparticles were dispersed in a polymeric hole-conducting medium possibly due to the increased percolation threshold of the nanoparticle–polymer system or higher fiber diameters (500 nm–1  $\mu$ m) observed in this study. Main hurdle in this study was to optimize the electrospinnable solution with the dye-anchored NWs of relatively large diameter and length.

The N3 dye-anchored TiO<sub>2</sub> NWs were dispersed in a polymeric solution containing polyaniline (PANI) and polyethylene oxide (PEO), lithium iodide/iodine (LiI/I<sub>2</sub>) PANi/PEO, and polyhexyl thiophene (P3HT). The resulting solutions were E-spun at  $\sim$ 30 kV with solution feed rate of 2 mL/h. Figure 17 shows the TEM images of the NFs developed by E-spinning the above solutions. Images a and b show a large area and magnified images, respectively, of the fiber cloths from PANi/PEO/LiI/I<sub>2</sub> and c and d are the corresponding images from PANi/PEO/P3HT system. Beads were observed in the SEM images; however, the fibers were continuous. Possible aggregations in the TiO<sub>2</sub> nanowires could have also increased the bead formation. A closer examination using TEM revealed islands of interconnected TiO<sub>2</sub> nanowires of length  $\sim$ 2  $\mu$ m dispersed in the polymeric matrix (images e, f). Constraints in the present experiments are the comparatively larger diameter (150 nm) of the starting TiO<sub>2</sub> nanowires likely rendering good percolation among the nanowires difficult. The best one of the PVFs fabricated by this methodology showed an efficiency of  $\sim$ 10<sup>-3</sup>%.

One of the factors to be improved for better photovoltaic parameters is to reduce the percolation threshold thereby providing better connectivity with the nanowires. This could be achieved through using long length (4–10  $\mu$ m) and lower diameter ( $\leq$ 50 nm) nanowires in the hole-conducting polymeric matrix. Other possible ways to improve the efficiency should be to use highly conducting polymers for hole/electron transport. These efforts are currently underway at our laboratory.

#### Energy storage—lithium ion batteries

As stated before, the renewable energy comes with a dual topic of energy conversion and storage. Many electrochemical devices that integrate different energy storage modes have been investigated in recent years for reversible energy storage, a brief account of which is available in recent reviews [83–85]. The intercalation storage of lithium ions is widely used due to its potentially large operational voltage window, high energy density, fast power capability, safety, and long cycle life. Conventional lithium ion battery (LIB) consists of LiCoO<sub>2</sub> (cathode), graphite

(anode), and a non-aqueous Li-ion-conducting electrolyte. The lithium ion is inserted in the anode from the cathode (intercalation) during the charge cycle and vice versa during the discharge cycle (deintercalation) due to the electrochemical reactions happening at the respective electrodes (Fig. 18). Nanostructured materials come into picture due to their high specific surface area which will enable absorption of the volume changes due to the smaller number of atoms in the nanograins of the electrodes. Also, an increased access of Li to the alloy-forming metal particles and short diffusion path length for Li ions will enable improved electrode kinetics, solid electrolyte interphase formation, and current-rate capability [86].

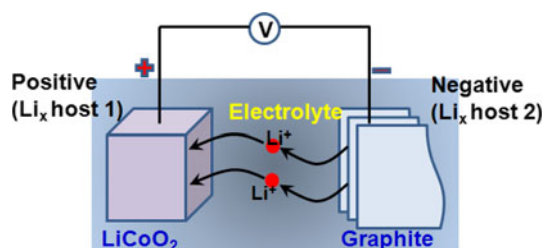
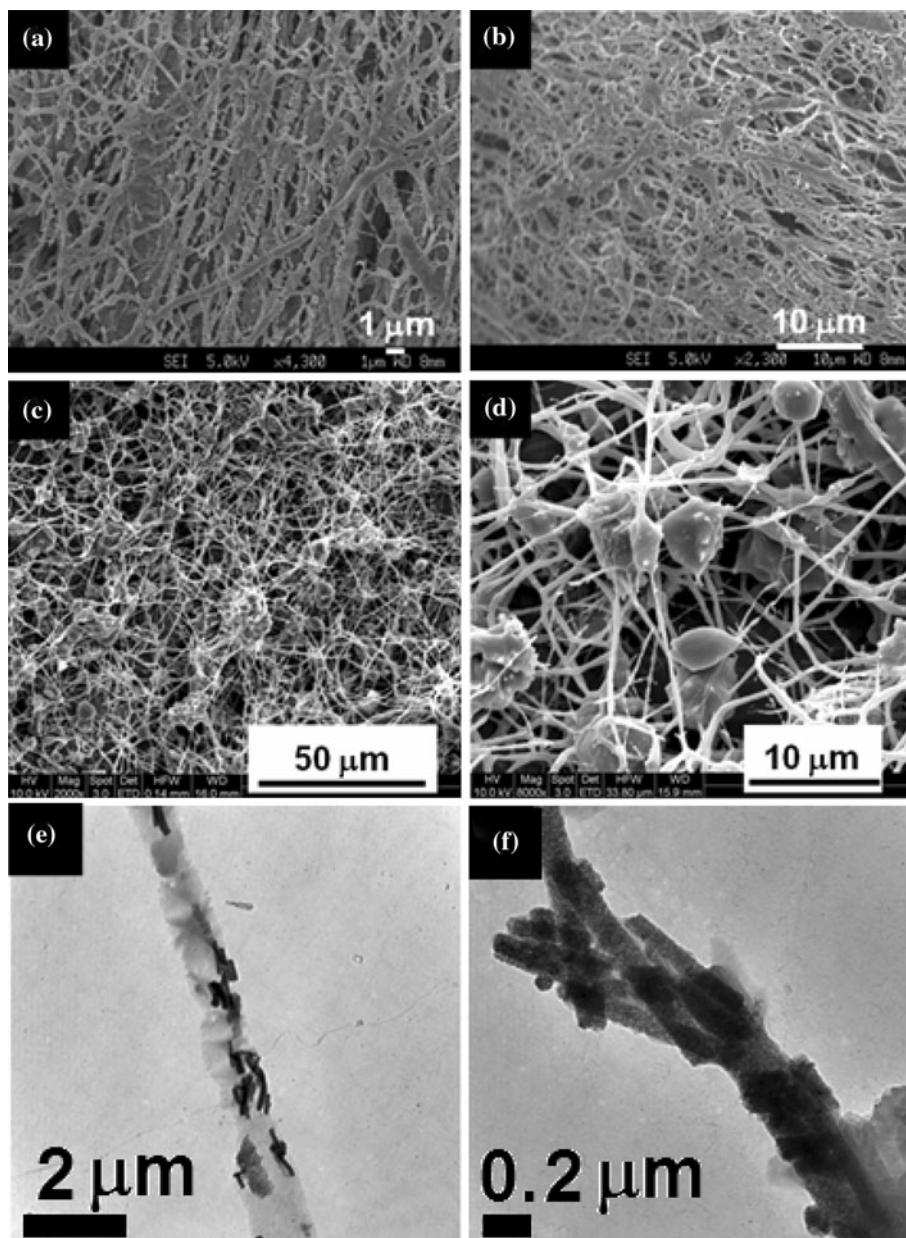
#### *Electrospun polymeric membranes for ion transport*

The first reports on the use of E-spun fibers [poly(vinylidene fluoride), PVDF] were on their use as membranes for ion transport or as separators [87, 88]. The membranes exhibited a high uptake of the electrolyte solution (320–350%) and a high ionic conductivity at room temperature ( $\sim$ 10<sup>3</sup> S/cm). A decrease in the fiber diameter constituting the membranes led to an increase in the conductivity due to the high electrolyte uptake. Interestingly, the fibrous polymer electrolyte that contained a 1 M LiPF<sub>6</sub>-EC/DMC/DEC (1/1/1 by weight) solution showed a high electrochemical stability of above 5.0 V, which increased with the decrease in the fiber diameters. The interfacial resistance (R<sub>i</sub>) between the polymer electrolyte and the lithium electrode slightly increased with the storage time, compared with the higher increase in the interfacial resistance of other gel polymer electrolytes. The prototype cell showed stable charge–discharge behavior with minimal capacity loss under constant current and voltage conditions. Later improved performances were observed in poly(vinylidene fluoride–co-hexafluoropropylene) (PVDF–HFP) membranes [89].

#### *Electrospun cathode materials—LiCoO<sub>2</sub>*

The E-spun LiCoO<sub>2</sub> NFs were evaluated for LIB electrochemical cycling in 2005 [90]. The cyclic voltammogram curves indicated faster diffusion and migration of Li<sup>+</sup> cations in the nanostructured LiCoO<sub>2</sub> fiber electrode [90–93]. In the first charge–discharge process, the LiCoO<sub>2</sub> fibers showed the initial charge and discharge capacities of 216 and 182 (mA h)/g, respectively. After the 20th cycle, the discharge capacity decreased to 123 (mA h)/g. A comprehensive study on the dependence of crystallinity and surface area on the specific capacity and cycling stability is still lacking.

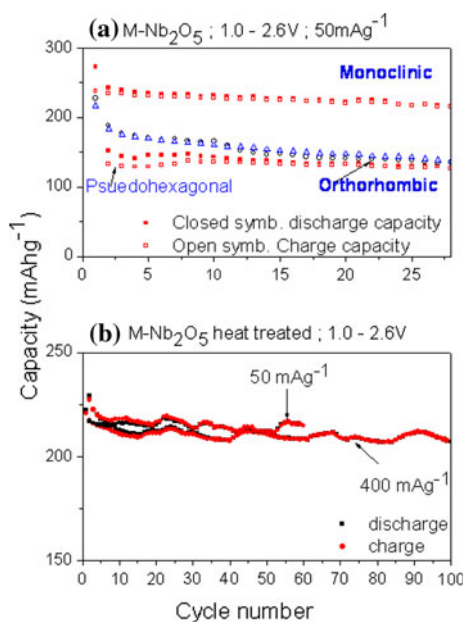
**Fig. 17** **a, b, c, and d** are the SEM and **e and f** are the TEM images of the photovoltaic fibers (PVFs) developed by electrospinning. The SEM images were measured using a FESEM (Quanta 200 FEG System; FEI Company, USA) and the TEM images by JEOL 2010Fas



**Fig. 18** Structure of the lithium ion battery. The electrochemical reaction at the cathode during charging cycle is  $\text{LiCoO}_2 \rightarrow \text{Li}_{1-x}\text{CoO}_2 + x\text{Li}^+ + x\text{e}^-$  and that at the anode is  $x\text{Li}^+ + x\text{e}^- \rightarrow \text{Li}_x\text{C}$  (Graphite). The overall reaction during the charging cycle could be written as  $\text{LiCoO}_2 + \text{C} \rightarrow \text{Li}_{1-x}\text{CoO}_2 + \text{Li}_x\text{C}$ . A reverse reaction occurs during the discharge cycle

#### Electrospun anode materials

The E-spun carbon fibers and their composites were tested as anodes in the place of conventional graphite [94–97] in the LIBs. Resultant electrodes were mechanically tough due to random web structure; a large accessible surface area due to nanometer-sized diameters; and relatively good electrical conductivity due to 1D fiber morphology. These parameters make it possible to improve the rate capability of LIBs. These studies reached a conclusion that the constant and slightly inclined charge potentials, high reversible capacity, and enhanced cycling at high rate capabilities of the E-spun carbon fiber make them



**Fig. 19** Capacity versus cycle number plots of **a** bare H, O, and  $M-Nb_2O_5$ ; current rate:  $50 \text{ mA g}^{-1}$ . **b**  $M-Nb_2O_5$  heat-treated electrode at  $220^\circ \text{C}$  at 6 h in Ar; current rate: 50 and  $400 \text{ mA g}^{-1}$ . Voltage range: 1.0–2.6 V, Li-metal as counter and reference electrode [100, 101]

ideal candidates to be employed as anodes for high-power LIBs.

More recently, E-spun metal oxide anodes such as  $V_2O_5$  [98],  $MnO_x$  [99],  $TiO_2$  [54, 55],  $Nb_2O_5$  [100, 101], and  $Co_3O_4$  [102, 103] were used as anodes for the LIB. The specific capacity and cycling stability of the resultant LIB depends on the crystallinity and surface area of the respective anode materials (Fig. 19). Incomplete phase formation or highly defective crystalline structure adversely affected lithium intercalation/de-intercalation behaviors both in terms of specific capacity and cycling stability. The peculiar porous structure of E-spun NFs could be beneficial for the observed cycling stability of the LIB which favors a complete desorption of lithium ions during the discharge cycle.

### Summary

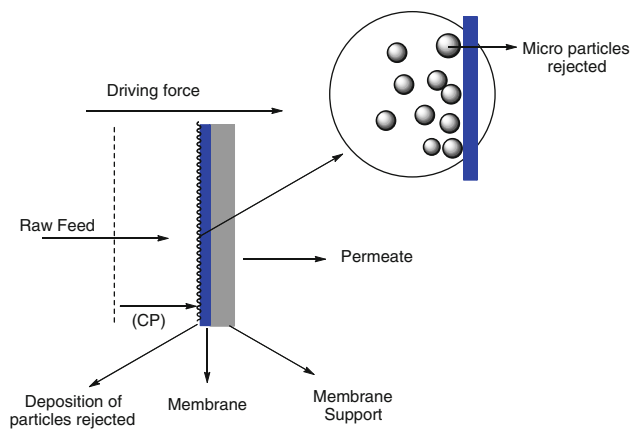
In order to summarize, significant achievements are made in synthesizing metal oxide NFs with controllable diameter, crystallinity, and surface area. The case of  $TiO_2$  NFs is presented here as a typical example. The  $TiO_2$  NFs with diameter as low as  $\sim 20 \text{ nm}$  and surface area as high as  $200 \text{ m}^2/\text{g}$  have been synthesized. The DSCs with efficiency as high as 11% has been reported using E-spun  $TiO_2$  NRs through a simple configuration relative to that achieved using mesoporous nanoparticles. The charge transport measurements determined in the presence of an electrolyte using electrochemical impedance spectroscopy as well as

transient photocurrent measurements showed that the charge transport resistance through the NFs is much lesser than that due to the nanoparticles, and this property could be exploited to determine a suitable aspect ratio for giving efficient electron diffusion coefficient. Further, it has been shown that the electron diffusion through the NFs could be controlled by tailoring the crystallinity. When dye-anchored wires are dispersed in a polymeric hole-conducting medium and the resultant solution is E-spun to produce a non-woven fiber mat, it could act as a PVF. Some preliminary results were generated at our laboratory. Furthermore, E-spun metal oxide NFs could be efficiently used for electrochemical storage of energy. The LIBs, fabricated using metal oxide NFs as electrodes or polymeric membranes as ion-conducting channels, demonstrated higher operational stability. Since the E-spinning process has the potential to fabricate metal oxide NFs, with superior crystallinity and surface properties and the remarkable properties of the fibers thereby produced, this process, i.e., E-spinning, promises to be a candidate process for material fabrication for future electronic and energy industry.

### Nanofibers for water filtration

Fresh water scarcity is a major problem in different parts of the world. Many technologies are widely employed to get usable water from brackish, waste, and salt water. Traditional approaches like distillation, sand filtration, sedimentation, and treatment with chlorine are widely used [104]. Filtration using membrane technology is a relatively new [105] with advantages over traditional water purification methods such as scalability, low power consumption, and the non-usage of chemicals. Inevitably, membranes can be operated at ambient temperatures which are favorable for biological, drug, and food applications [106]. In general, membrane acts as a very specific filter that will pass water flow through, while it separates suspended solids and other substances.

Membrane filtration can be broadly divided into two parts based on the particle sizes filtered: micro and ultra filtration for the removal of larger particles as membranes operate at low pressures with high productivity. On the other hand, nano filtration and reverse osmosis (RO) remove salts from water. In nano and RO membranes, separation takes place mainly by diffusion through the membrane and not by the principle of pores. The pressure required to operate nano filtration and RO is much higher than that required for micro and ultra filtration, while productivity is much lower. Figure 20 shows a schematic of membrane filtration processes.



**Fig. 20** Schematic diagram of membrane filtration process depicting various fouling methods: concentration polarization (CP), deposition of particles rejected on the feed side, fouling of the membrane on the skin void space

However, main disadvantages of the membrane materials are fouling which forms quickly when exposed to the organic species in waste water feed streams. The main method of preventing membrane fouling includes surface grafting of hydrophilic polymers onto the membranes. The former approach works fairly well, but it requires a modified number of fabrication steps and the surface treatments tend to lose their membrane strength.

#### Membranes and materials

Filtration is a process of removing suspended particulates from water by applying pressure to drive the water through a porous media. With the proven success of membranes in the water filtration media, membrane technology continues to make advancement. Even though advanced membranes are developed, major problems still needing attention are the membrane fouling and chemical stability. Reduced fouling would make membranes become cost effective by extending their operational lifetime and lowering energy requirements. The size of particles removed and permeated by the types of membranes are summarized in Table 2.

Most of the microfiltration (MF), ultrafiltration (UF), nanofiltration, and RO membranes are synthetic organic polymers, although other forms of membranes including ceramic and metallic are available. Currently, almost all

the membranes manufactured for drinking water production are made of polymeric material, since they are significantly less expensive than membranes constructed of other materials. MF and UF membranes are often prepared from same materials, under different membrane formation conditions so that different pore sizes are produced [107]. The polymers for MF and UF include polysulfone, poly(acrylonitrile), poly(vinylidene fluoride), poly(acrylonitrile)-poly(vinyl chloride) copolymers [108] and poly(ether sulfone). The MF membranes also include cellulose acetate–cellulose nitrate blends, nylons, and poly(tetrafluoroethylene). The RO membranes are typically made up of either cellulose acetate or polysulfone coated with aromatic polyamides [108]. The NF membranes are made from cellulose acetate blends or polyamide composites like the RO membranes.

#### Methodology

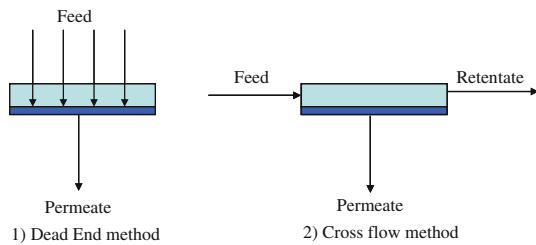
E-spinning [109] is one of the most effective and versatile method to produce polymer NFs [5, 110–113]. E-spinning is a fiber-forming process that utilizes a high-voltage electric field to produce an electrically charged jet of polymer fluid, which, while solidifying, produces a fibrous web comprising fibers from a few nanometers to sub-micron in diameter. E-spun NFs were successfully used as high performance filters for air filtration [114] and also in protective textiles [115–117], advanced composites [118–120], photovoltaic cells [81], scaffolds in tissue engineering [121–125], solar cells in space [126], and recently as membranes in affinity separation [127].

Our research group has extensively studied the impact and application of E-spun nanofibrous membranes for various separation technologies. Investigations have revealed E-spun nanofibrous membranes (ENMs) possess high-flux rates and low trans-membrane pressure [128]. These characteristics are due to (a) high porosity, (b) interconnected open pore structure, and (c) tailorable membrane thickness. In general, membrane processes operate in two modes: dead-end or cross-flow methods as shown in (Fig. 21). In dead end flow, the feed is forced through the membrane, increasing concentration of the rejected components in the feed. In cross flow, the

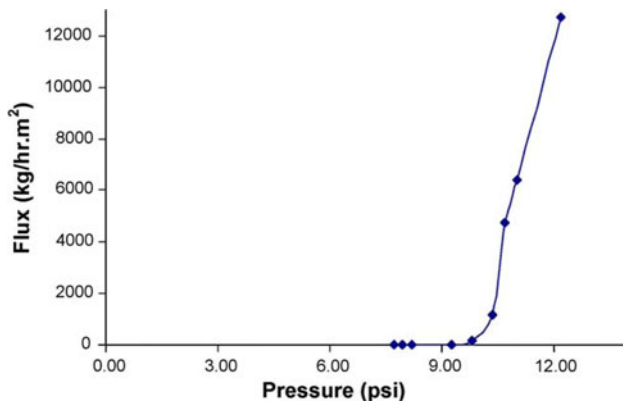
**Table 2** Summary of different membrane processes

	Microfiltration	Ultrafiltration	Nanofiltration	Reverse osmosis
Type of particles removed	Colloids bacteria	Large organic molecules, viruses	Small organic molecules, divalent ions	All dissolved species
Operating pressure (bar)	0.2–2	1–5	5–20	20–80
Typical flux (l/m <sup>2</sup> /h)	100–1,000	50–200	20–50	10–50





**Fig. 21** Schematic representation of modes of flow



**Fig. 22** Pressure versus flux for E-spun (PVDF) membrane [128]

occurrence of concentration polarization and fouling of the membrane is reduced.

Using the developed ENMs, the liquid entry pressure of water was determined using pore size measurement set-up, which indicates that beyond the upper pressure limit, the flux is directly related to pressure (Fig. 22).

Later, the developed ENMs were subjected to micro particle separation using polystyrene micro particles at different concentration. A comparison of the results shows that the developed ENMs have characteristics similar to that of the MF membranes (Table 3).

The chemical and physical properties of the membrane’s surface play an important role in determining the flux and selectivity of a separation process. Hence, surface modification is a powerful tool in membrane technology, which can be used to enhance the performance of membranes.

Polymers suited for membrane applications should preferably be chemically stable and mechanically strong. Traditionally, polymers with the best solvent resistance or those which provide the most convenient pore structure should be too hydrophobic for use as a filter in aqueous media [129]. Conversely, polymers with the desired active surfaces do not possess adequate mechanical stability, and hence, cannot be used as a support or base membrane [130]. Thus, surface modification is frequently employed to combine the attributes of a desirable surface chemistry and adequate mechanical stability.

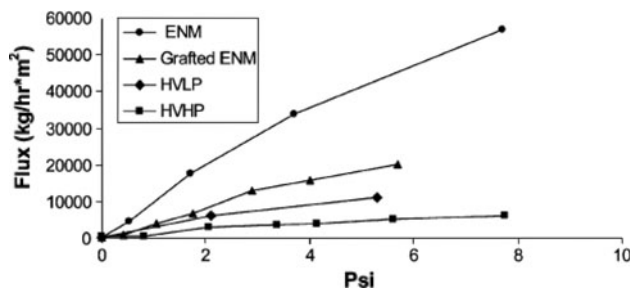
Blending, interfacial polymerization, grafting, and surface coating are the various methods available for surface modification. Among these, plasma-induced graft copolymerization is an efficient and versatile method for introducing a selective polymeric layer on the surface of a hydrophobic membrane. The surface pores of the ENMs can be reduced significantly by plasma-induced grafting, without compromising on its bulk porosity. The thickness of the modified layer can be controlled up to the angstrom level [131].

Kaur et al. [132] utilized plasma-induced grafting to reduce the surface pores of the ENMs while maintaining the base nanofiber architecture. This modification technique created an asymmetric membrane structure. Various grafting techniques had also been employed to impart specific surface chemistry on the fiber surface. The commonly available PVDF and PSU polymers were electrospun, and these ENMs were exposed to plasma at different power and exposure time. Further, polymerization was carried out at certain temperature in monomer solution such as methacrylic acid (MAA) for a specified time to create the high performance UF membrane [132]. This study evaluated the application of plasma-induced graft copolymerization to reduce the surface pores of ENMs.

The available polymer poly(vinylidene) fluoride (PVDF) was E-spun as the base membrane, and a hydrophilic monomer methacrylic acid (MAA) was grafted on the surface of the ENM to develop an asymmetric membrane with enhanced flux performance. Concomitantly, grafting was performed on a commercial phase-inverse PVDF (HVL P) membrane, and membrane performance of the

**Table 3** Separation results of 1-, 5-, and 10-µm polystyrene micro particles using ENM

Particle size (µm)	Membrane area (×10 <sup>-4</sup> m <sup>2</sup> )	Pressure (psi)	Feed (ppm)	Water flux start of expt (kg/m <sup>2</sup> h)	Feed flux (kg/h)	Water flux end of expt (kg/h)	Separation factor (%)
10	3	8.3	133	133	133	133	96
1	3	8.3	500	200	200–133	200	91
1	3	8.3	–	467	Drop in flux	–	–
1	3	9.5	100	1300	1066–530	530	98



**Fig. 23** Water flux permeation results of ENM, grafted ENM, HVLP, and HVHP [132]

ENM with the grafted layer was compared with the commercial membranes. More significantly, water filtration results revealed that the grafted ENM had a better flux throughput than the HVLP membrane, which proved that ENMs were successfully engineered through surface modification to achieve smaller pores while retaining their high flux performance (Fig. 23).

In order to further advance the role of ENMs in liquid separation, several approaches to introduce a polyamide layer on the surface of the ENM through interfacial polymerization to develop membranes suitable for nanofiltration. The nanofiltration (NF) membrane is a type of pressure-driven membrane with properties in between RO and UF membranes. NF offers several advantages such as low operation pressure, high flux, high retention of multivalent anion salts, relatively low investment and low operation and maintenance costs. Because of these advantages, the applications of NF worldwide have increased.

Very recently, Kaur et al. [133] fabricated polyamide composite membranes based on an E-spun porous non-woven support. Through surface modification, these membranes could be used beyond the microfiltration range. A polyamide layer was introduced on the surface of the porous E-spun NF membrane through interfacial polymerization. Different approaches to facilitate the formation of the thin film on the ENMs have been systematically explored and interesting surface morphologies have been summarized. Owing to extreme hydrophobic nature of PVDF base membrane, common practice of soaking the membrane in an aqueous phase followed by organic phase

were reversed, which paved the way for the best separation results.

E-spun PVDF polymeric fiber membranes in two different concentrations were developed. The membranes were heated from room temperature to 60 °C for 1 h at a rate of 1 °C/min to ensure complete removal of adhered organic solvents such as acetone. Subsequently, the membranes were then heated up to 157 °C/min at the same rate for 3 h to improve the structural integrity of the membrane. A polyamide thin film layer was formed through interfacial polymerization reaction of p-phenylenediamine (PPD)/aqueous phase and trimesoyl chloride (TMC)/organic phase.

The membranes were characterized for morphology and pore distributions. The surface and the cross-section of the membranes were observed by FESEM. The pore size distribution of the support membrane was evaluated using a capillary flow porometer (Porous Materials Inc., USA). Circular composite ENM 25 mm in diameter were used for flux and separation studies. All the tests were conducted on Amicon-stirred cell model 8010 at an operating condition of 70 psi.

The best interfacial polymerization condition performed on the surface of the ENM resulted in the rejection of 80.7% of  $MgSO_4$  and 60% of NaCl (Table 4).

Using the modified approach, a polyamide thin film was successfully produced (Fig. 24). The ratio of monomers played an important role in the rejection of the salts, and by varying the ratio between two monomers, the rejection of the salts was increased. The preliminary results proved the potential of ENM as self-supporting nanofilters. However, with careful optimization of the surface film, the flux may be greatly improved. With better optimization and understanding of their separation behavior, efficient nano-filters based on E-spun membranes can be designed and developed.

## Summary

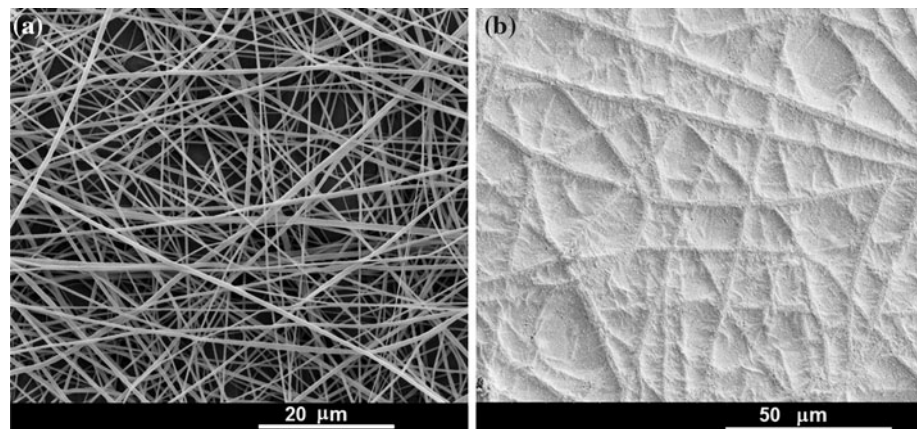
In order to summarize, E-spun NFs have high potential in the improvement of water filtration membranes. Fouling of membranes by organic micro pollutants, heavy metals, pharmaceutical and personal care products, and biofouling of membranes caused by the bacterial incumbrance in

**Table 4** Flux and separation profile of the ENM-based composite membranes

Ratio of TMC conc: PPD concentration	$MgSO_4$ experiment <sup>a</sup>		NaCl experiment <sup>a</sup>	
	Rejection (%)	Flux ( $L/m^2h$ )	Rejection (%)	Flux ( $L/m^2h$ )
1:1	0	–	0	–
1:2	42.0	1.24	42.5	1.2
1:4	75.3	0.66	61.6	0.56
1:16	80.7	0.51	67	0.52

<sup>a</sup> Solute concentration in feed 2000 ppm, operation pressure 70 psi

**Fig. 24** **a** Structural architecture of PVDF ENM. **b** Polyamide thin film on the surface of ENM



water reduce the quality of drinking water and has become a major problem in water treatment. Several studies showed inhibition of these bacteria to reduce the fouling/biofouling after exposure to NFs with functionalized surfaces. As the sustainability of drinking water availability is challenged worldwide, nanofiltration is becoming one of the most important technologies in this century for water treatment due to its superb efficacy in producing a high quality of water at relatively low costs. By E-spinning, ultrafine fibers were created from various polymers and other materials, with diameters ranging from a few μm down to tens of nm. The nonwoven webs of fibers formed through this process typically have nano-scale pore sizes, high and controllable porosity, high specific surface areas, and extreme flexibility with regard to the materials used. Surface chemistry of the E-spun NFs could be modified by blending, grafting, coating, and interfacial polymerization. Finally, future research with E-spun NF membranes could pursue new pretreatment technologies that can improve the quality of treated water and, more importantly, can control membrane fouling in a cost-effective and environmental-friendly manner.

### Nanofibers for regenerative medicine

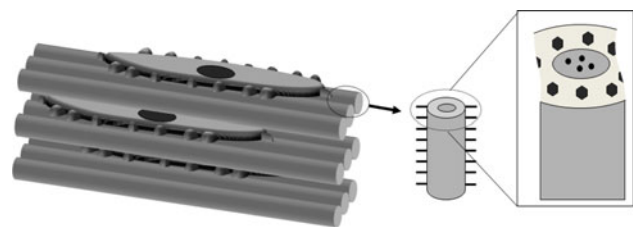
Before the turn of this century, E-spinning had only been used commercially in air filtration, and not much attention was paid to it in the research community. In the mid-1990s, interests in nanotechnology and tissue engineering have caught the attention of researchers. For the first time, a scaffold that has the same nano-building blocks of extracellular matrix (ECM) can be fabricated easily. The NFs have been shown to yield cellular response that differs from that of traditional smooth-surfaced substrate. Advances in E-spinning have allowed construction of NF scaffolds, which mimics more complex micro-structural arrangement of certain ECMs. Bone, nerve, and cardiac

regenerative scaffold will be described here to illustrate the advancement of biomimetic scaffolds. Taking into account the benefits of NFs in tissue regeneration, NFs can be applied to existing biomedical devices. An illustration of this capability will be shown using NF-coated stent as an example.

### Advantage of E-spun fibers

Common materials that have been E-spun for biomedical applications include biodegradable synthetic polymers, such as polylactic acid, polycaprolactone, and polyglycolic acid, and non-biodegradable materials such as polyurethane, and natural polymers such as chitosan, collagen, and alginate. Therefore, specific material can be chosen based on the criteria that match the requirement of scaffold. However, in certain biodegradable materials, the property of the material may differ from the bulk property and must be verified at nanometer scale. For example, polylactic acid NF does not undergo accelerated catalytic hydrolysis which is commonly seen in bulk polylactic acid [134]. This may result in less severe reaction in the tissue from the degraded PLA product due to slower degradation rate.

Mimicking ECM has been one of the main motivations for exploring its use as tissue regenerative scaffolds (Fig. 25). Till date, many different cell lineages and stem



**Fig. 25** Motivation of using E-spun NFs for tissue engineering. Functionalized hierarchically organized nanofibrous scaffold has the potential to enhance cell adhesion, promote cell proliferation, and differentiation as well as migration and physical morphology

cells have been cultured on E-spun NFs and have yielded fair to excellent results. In terminally differentiated cells such as smooth muscle cells, osteoblast, chondrocytes, cardiomyocytes, and endothelial cells, the E-spun NFs have been demonstrated to show enhanced proliferation and adhesion [135]. Gene expression studies on endothelial cells cultured on NFs showed upregulated expression of ICAM-1, VCAM-1, and E-selectin compared to cells cultured on tissue culture plates [136]. Nur-E-Kamal et al. showed that fibroblast and rat kidney cells cultured on NFs resulted in the activation of GTPase Rac which is vital in normal cellular activity in contrast to higher level of Rho kinase released by cells cultured on 2D surfaces [137]. Mechanical stimulation of rat skeletal myoblasts cultured on NF scaffold and 2D film showed earlier and higher expression of integrin  $\beta 1$  and integrin-linked kinase (ILK) level for cells cultured on NFs [138]. Stromal cells, such as mesenchymal stem cells [139, 140], haemopoietic stem cells [141], embryonic stem cells [142], and neural progenitor cells [143] have been cultured on NFs. Li et al. have shown that human mesenchymal stem cells cultured on NF scaffold can be induced to differentiate along adipogenic, chondrogenic, or osteogenic lineages by culturing in specific differentiation media [140]. Prabhakaran et al. showed that mesenchymal stem cells cultured on NFs can be induced to differentiate into neuronal cells in neuronal-inducing factors.

Contact guidance of cell migration and proliferation has been demonstrated using various aligned nanostructures. Cell organization in ECM is also influenced by its underlying ECM organization. Through modification of the E-spinning setup, scaffold consisting of aligned NFs can be fabricated, and this has been shown to influence cell behavior. In vitro culture of dorsal root ganglia by Corey et al. has shown that neurites' outgrowth on highly aligned substrate were 20% longer than that of neurites on randomly oriented NFs [144]. Chew et al. showed that human Schwann cells had not only aligned to the orientation of NF, but also shown upregulation of the myelin-specific gene, P0, which was absent in randomly oriented NFs [145]. Other cells such as endothelial cells, smooth muscle cells and fibroblast have been shown to orient in the direction of the underlying aligned NF direction [123, 146, 147].

#### Mimicking ECM chemical composition

Although ECM was previously thought to give just structural support to cells, it is now recognized that integrins on ECM and cytokines bound to ECM are able to interact and influence cell behavior. Therefore, just mimicking the physical structure of ECM may not be sufficient. Chemical composition and addition of proteins are sometimes

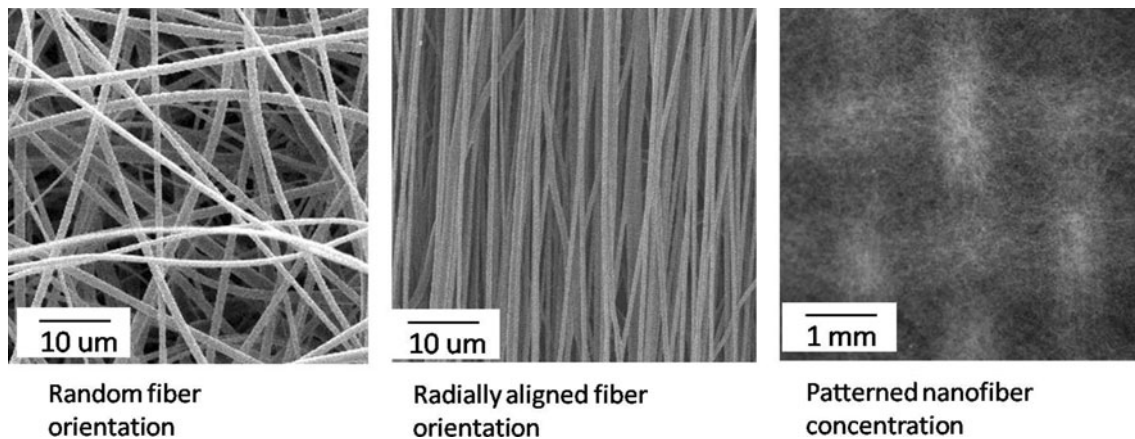
necessary to regulate cell behavior. Studies have shown that cells have better adhesion and proliferation on the NFs containing proteins than the purely synthetic NFs [136].

Since the addition of biochemical cues have a positive influence on cell behavior, various methods have been explored for this purpose. Polymer solution blending has proven to be one of the most commonly used methods, as it does not require any additional setup or equipment. In this technique, only one of the materials needs to be E-spinnable, and the others can be small biological molecules and fragments. Proteins, such as fibrin [148] and collagen [121], DNA [149], virus [150], and GAG [151], have been successfully mixed and E-spun to give functional NFs. Although a common solvent is not required, the distribution of the two components in the fluid should be uniform. Another common technique is through surface modification, where biological entity is attached only to the surface of NFs. An advantage of this technique is that, usually, a small amount of biological cue is required to evoke a desirable response from the cells. Surface modification can be achieved through plasma treatment on the NF surface and dipping NF into a solution containing the biomolecules [152]. More elaborate setup such as using core-shell spinneret to generate core-shell NFs has also been used. In this case, the spinneret contains a dual bore where the inner solution is usually different from the outer solution. With this, solvent-sensitive biomolecules can be incorporated through the inner core using water, while the outer shell may use solvent-based polymer.

Native bone is known to contain up to 70% nano-hydroxyapatite (nHA) with the rest consisting predominantly of collagen NFs. Therefore, in the construction of a biomimetic regenerative bone scaffold, it is important to incorporate hydroxyapatite. Studies have shown that the presence of hydroxyapatite stimulates secretion of bone-like minerals by osteoblasts on NF scaffold compared to those without nHA [153]. While blending would incorporate nHA in the NF matrix, other mineralization technique has been successful in introducing nHA on the surface. Simulated body fluid has been shown to deposit nHA on the surface of NFs after a week or two of immersion [154]. Ngiam et al. used the alternate dipping method, where the NFs were first dipped in calcium solution followed by phosphate solution, to form nHA on the NF surface in a few hours [155].

#### Mimicking ECM hierarchical structure

Many biological structures are built in a hierarchical manner with nanostructures coming together to give microstructures which are then organized to a macro-scale level. Researches have shown that nanotopography is able to influence cell functions. Therefore, in the construction of



**Fig. 26** Nanofiber organization in membrane

regenerative graft, it is important to consider incorporation of nanoscale surface features. Nanoscale topographic modification of dental and orthopedic implants has shown great promises in clinical applications [156, 157]. However, the ideal scaffold should replicate the nano to micro-scale organization in native ECM.

Progress in the E-spinning process has allowed us to replicate some of the simpler structural organization from the nanoscale. The chaotic jet which is intrinsic to E-spinning generally yields a nonwoven mesh of NFs. Over the last decade, more innovative setups and configurations have led to an evolution in the NF architectures and applications. Figure 26 shows the advancement in nanofibrous membrane organization. At the simplest level of organization, E-spun NF membrane with its nonwoven NF organization resembles connective tissues of ECM. Advantage of aligned NFs in contact guidance of cells has prompted other researchers to develop various methods to fabricate them. Generally, fabricating aligned NF membrane can be achieved through mechanical rotation, air gap between parallel electrodes or their combination. Other researchers have used metal grids to collect E-spun NFs [158, 159], and these materials have been shown to yield better burst strength [158, 159].

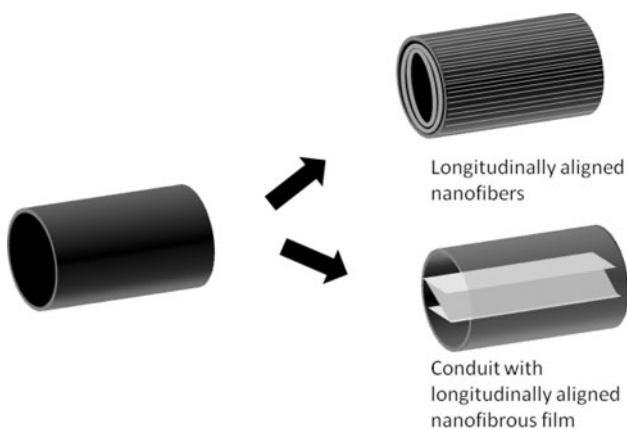
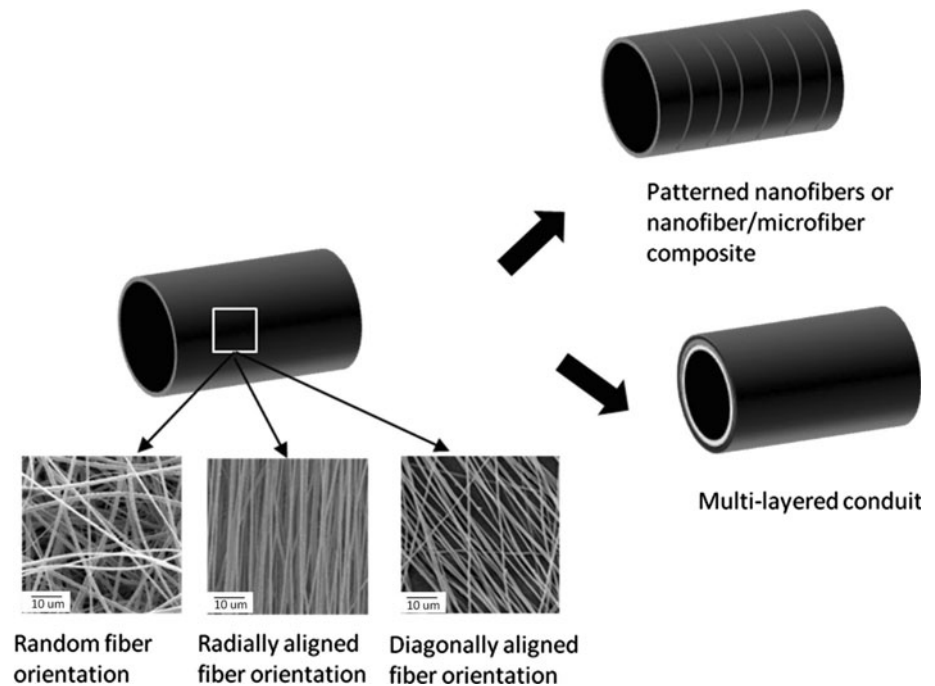
While membrane can be used in *in vitro* cell culture studies and as dermal patch, the NF assembly needs to be modified for it to be used in other regenerative graft application. In vascular graft and peripheral nerve graft, a tubular scaffold is required. Using a rod as a collector during E-spinning has been shown to be a simple method of fabricating tubular NF construct. In the beginning, only randomly oriented NF tubular graft have been used as vascular or nerve graft [160–162]. However, increasing knowledge on mechanical and materials properties have led to the development of multi-layered and multi-functional tubes to mimic the strength and physical structure of blood vessels [163]. Teo et al. have demonstrated the

ability to E-spin tubular NF structure with controlled fiber alignment through auxiliary electrodes to guide the flight of E-spinning jet [164]. These properties of the resultant graft can be altered through material selection and NF orientation. Figure 27 shows the advancement in vascular NF graft design. A 12-week study by Nottelet et al. showed that the NF graft implanted in a rat aorta model demonstrated good patency, full endothelialization, and cells' ingrowth [165].

In peripheral nerve graft, biomimicking of the ECM has evolved from just using a conduit with randomly oriented NFs [161, 166] to the inclusion of intra-luminal guidance channel. Native nerve lumen is not an empty conduit-like blood vessel. Instead, it is filled with endoneurium tubes which support the axon and other tissues such as blood vessels and fascicles. As aligned NFs have been shown to guide neurite outgrowth, Chew et al. fabricated a conduit with E-spun fibers aligned along the length of the tube and compared it with circumferentially aligned NF conduits in a rat sciatic nerve model. However, no significant difference in the recovery was found at the end of 3 months although they perform significantly better than empty tube (smooth wall) of the same material [167]. In an effort to mimic the intraluminal support of native nerve ECM, Clements et al. inserted thin film of longitudinally aligned NFs into the lumen of semi-permeable polysulfone tubing. *In vivo* study using rat sciatic nerve model showed that a single film performs better than 3-film conduit [168]. Where intra-luminal guidance channel is used, there must be a compromise in the number of guidance channel to be inserted versus the blockage of axon growth due to the guidance channel. Figure 28 shows advancement in the nerve NF graft design.

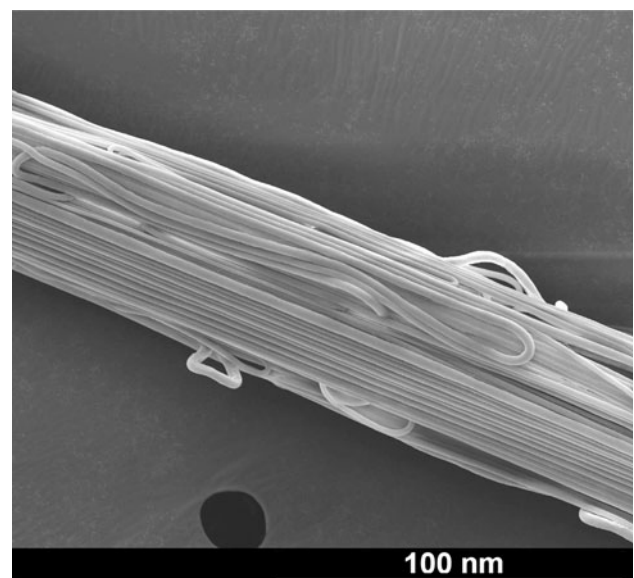
Other than film and tubular structures, NF structures such as yarn and 3D block have been developed. Such structures have the potential to be used in other tissue regenerative graft. In an unpublished study, micro-yarn

**Fig. 27** Advancement in nanofibrous vascular graft



**Fig. 28** Advancement in peripheral nerve repair nanofibrous graft

consisting of aligned NFs as shown in Fig. 29 has been used as intra-luminal guidance channel in peripheral nerve repair graft. Various yarn-spinning techniques have been developed; in particular, the technique where E-spun NFs were first deposited onto a non-solvent before drawing into yarn has been highly successful in fabricating aligned NF micro-yarn [169, 170]. The development of process to fabricate 3D block NF's scaffold is a significant development in the use of NFs as regenerative graft. Although in vitro study with NF membrane has demonstrated to encourage cell adhesion and proliferation, many tissues exists as 3D block. Therefore, with the process to fabricate 3D block NF scaffold, the synthetic graft has the potential to be used in clinical applications. Teo et al. has demonstrated that it is possible to fabricate a hierarchically



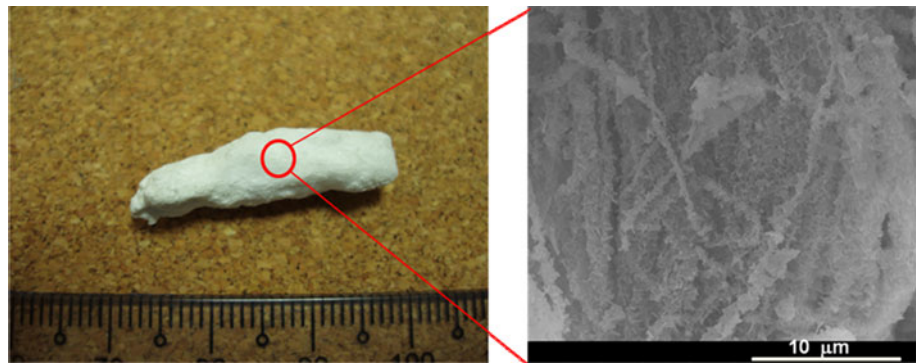
**Fig. 29** Aligned nanofibrous yarn

organized block NF scaffold consisting of yarn micro-structures [171]. Mineralization technique can be carried out to deposit nano-hydroxyapatite on the scaffold. With this, a hierarchically organized block construct consisting of nano-hydroxyapatite on NFs can be fabricated as shown in Fig. 30.

#### Medical devices

Currently, most research studies focus on new product development, in particular, regenerative graft. However,

**Fig. 30** 3D nanofibrous scaffold with nano-hydroxyapatite



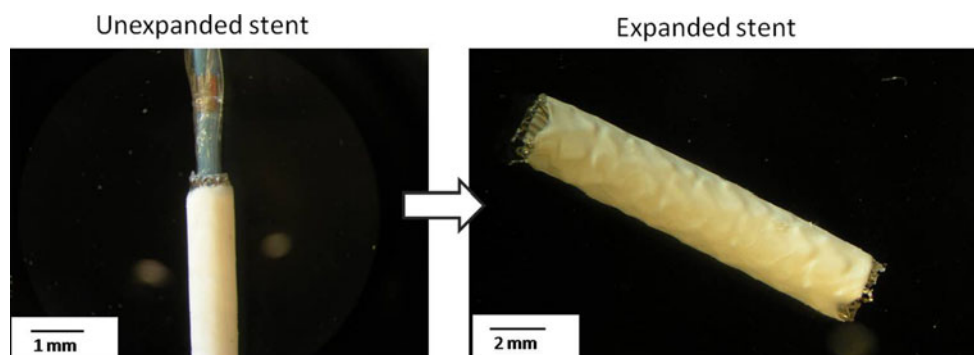
E-spinning can also be used to incorporate NFs with existing biomedical devices. Investigation of NFs as vascular conduit has demonstrated that the endothelial cells proliferate well on NF surface. This beneficial effect has been applied to bare metal stent by coating the stent with NFs. Since E-spinning can be used to fabricate NF conduit by coating on a metallic rod, the same method has been used to coat NFs on bare metal stent. Cases of in-stent restenosis due to proliferation of smooth muscle cells into the bare metal stent has prompted the development of covered stent. Covered stent has also found applications in treating aneurysms. Current commercially available covered stent is mainly made out of Teflon which is hydrophobic and discourages endothelial cell proliferation into the lumen of the stent. This poses a risk of thrombosis in the long term as Teflon is non-biodegradable. In contrast, if a layer of endothelial cells are able to cover the lumen of the stent, the risk of in-stent restenosis and thrombosis can be significantly reduced.

Kuraishi et al. carried out an *in vivo* study of a bare metal stent coated with a layer of randomly oriented NFs in a rabbit aneurysms model. The covered stent was found to be effective in occluding the aneurysms and patent. At day 10, a neointimal layer was formed in the coated stent [172]. In order to reduce the risk of NF coating tear and to minimize the impedance of NF-coating on the stent during expansion, longitudinally aligned NFs can be deposited on

the NFs. This will allow stiffer biodegradable polymers to be used for stent coating. Figure 31 shows the bare metal stent coated with polylactide-co- $\epsilon$ -caprolactone NF which has been expanded.

#### Cardiac tissue engineering

Heart failure following myocardial infarction continues to be the leading causes of death in the United States and industrialized countries. The critical cause of heart failure is myocardial ischemia, resulting in dysfunction and death of cardiomyocytes. The main cardiac response to myocardial infarction (MI) can be seen as cardiomyocyte hypertrophy, apoptotic myocyte loss, progressive collagen replacement, and enlargement of the left ventricle [173]. The cardiomyocytes are starved of oxygen, and nutrients undergo necrosis and apoptosis leading to MI. Cardiomyocytes are essential for the contractile activity of the heart, death of which can eventually lead to congestive heart failure (CHF) and other cardiac dysfunctions [174]. Left ventricular dilatation is a well-recognized precursor of ventricular dysfunction and CHF after myocardial infarction. The damaged left ventricle undergoes progressive “remodeling” and chamber dilation, with myocyte slippage and fibroblast proliferation. The enlargement in ventricular volume leads to progressive structural and functional changes in ventricles (called ventricular



**Fig. 31** Nanofiber-coated stent crimped on a balloon (*left*) and an expanded stent (*right*) (Photo courtesy: A. Paneerselvan)

remodeling). Ventricular remodeling is compensatory at the initial stages, but adds further inefficiency to the mechanical pumping of the ventricular muscle, predisposing toward the final stage of CHF, a condition in which the heart cannot pump sufficient amount of blood to meet the metabolic requirements of the body [175]. Restoration of heart function by replacement of diseased myocardium with functional cardiomyocytes is an intriguing strategy because it offers a potential cure. There is an increasing body of experimental approaches to restore/regenerate failing myocardium. Two of the promising pathways are direct implantation of primordial type of cells into the injured heart and the replacement portions of the heart muscle with tissue-engineered bioartificial grafts. Several promising tissues on engineered technologies have already been developed and are presently being tested in animal models, and also in stem cell therapy that has successfully proved in human clinical trials. The ultimate goal in therapeutic cardiac tissue engineering is to generate biocompatible, non-immunogenic heart muscle with morphological and functional properties of natural myocardium.

#### *Cell therapy for myocardial infarction*

Cell therapy is a novel treatment to prevent ventricular dilation and cardiac dysfunction in patients suffering from MI. Cell therapy presents new opportunities to enhance cardiac performance through differentiation of injected cells into cardiomyocytes. Although early studies opened the plausibility of cell therapy to regenerate cardiomyocytes, subsequent studies failed to advance through follow-up on these initial observations. Cell transplantation is considered to be a novel and hopeful therapeutic alternative to support endogenous regenerative mechanisms in ischemic heart disease and heart failure. Potential cell sources used for MI include skeletal myoblasts, crude bone marrow, endothelial progenitor cells, hematopoietic stem cells, mesenchymal stem cells, smooth muscle cells, umbilical cord cells, fibroblasts, human embryonic stem cells, fetal cardiomyocytes, and myocardial progenitors. Dib et al. [176] assessed safety and feasibility of using minimally invasive cardiac transcatheter for acute myocardial infarction to previously infarcted myocardium [176]. Autologous myoblast transplantation has the capacity to replace lost myocardial contractile cells [177] plus arrest and reverse ventricular dilation [178]. Opie and Dib [179] observed the improvement in left ventricular ejection fraction (LVEF), wall motion score index, and electrical activity in infarcted myocardium suggesting that the myoblasts remain viable after transplantation for the overall activity of heart function [179]. Mesenchymal stem cells (MSCs) have substantial capacity to facilitate cardiac repair following acute MI, participate in vasculogenesis

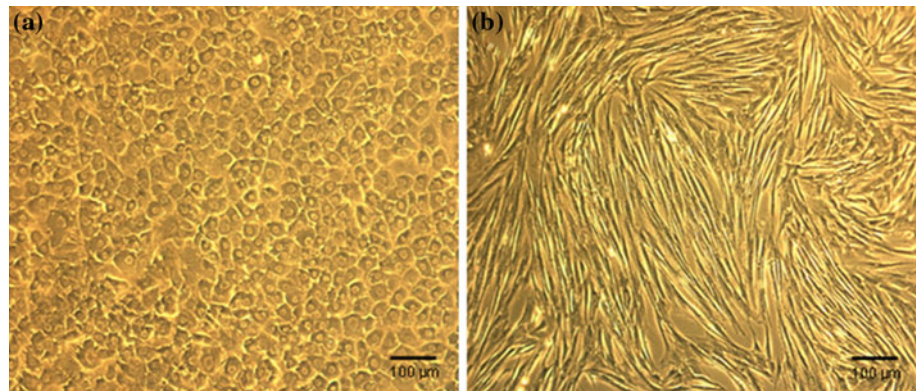
and angiogenesis by paracrine signaling, incorporation into newly formed vessels, and most likely transdifferentiation into vascular endothelium and smooth muscle cells [180, 181]. Ramakrishna et al. are focusing research on using rat cardiomyocytes and MSCs for the repair of MI (Fig. 32).

#### *Biomaterial scaffolds for cardiac tissue engineering*

The challenges in heart muscle tissue engineering include the design and fabrication of noninvasive biomaterial constructs and hydrogels for the regeneration of MI. The criteria and objectives for developing a cardiac patch for cardiac tissue engineering are: (1) it is highly porous with large interconnected pores (to facilitate mass transport), (2) hydrophilic (to enhance cell attachment), (3) structurally stable (to withstand the shearing forces during bioreactor cultivation), (4) degradable (to provide ultimate biocompatibility of the tissue graft), (5) elastic (to enable transmission of contractile forces), and (6) non-immunogenic having the ability to differentiate into mature, functional cardiomyocytes [182]. Scaffold structure determines the transport of nutrients, metabolites, and regulatory molecules to and from the cells, whereas the scaffold chemistry has an important role in cell attachment and differentiation. Mechanical properties of the scaffold should comparably match to those of the native tissue, to provide mechanical integrity of the forming tissue and support an *in vivo*-like mechanotransduction between cells and their environment. The cardiac tissue constructs express structural and physiological features characteristic of native cardiac muscle have been engineered *in vitro* using fetal CM on collagen fibers, poly(glycolic acid) fibrous scaffolds, and porous collagen scaffolds. The stress–strain curves of heart muscle and the poly(glycerol sebacate) scaffolds tailored to match either the stiffness of the heart muscle at the beginning of diastole (stiffness is 10–20 kPa) or the stiffness at the end of diastole (200–500 kPa) [183, 184]. The pore size in the range of 50–100  $\mu\text{m}$  was sufficient to allow the vascularization of the scaffold following transplantation. In order to promote functional assembly and electrochemical cell coupling, synchronous contractions of cultured constructs were induced by applying electrical signals designed to mimic those in native heart. Leor et al. (2009) reported cardiac grafts using alginate scaffolds attenuated left ventricular remodeling and heart function deterioration in animal models of myocardial infarction [185]. Jin et al. [186] observed poly(lactide-co-caprolactone) served as a mechanical ECM, allowing the seeded bone marrow MSCs to survive and differentiate into cardiomyocytes, thereby regenerating the myocardium and ultimately ameliorating cardiac function [186]. Zimmermann et al. [187] created engineered heart tissue construct made from heart cells, liquid collagen I, and Matrigel as well as growth



**Fig. 32** Cardiomyocytes cultured in Dulbecco's Modified Eagles Medium. **a** Rat cardiomyocytes. **b** Mesenchymal stem cells



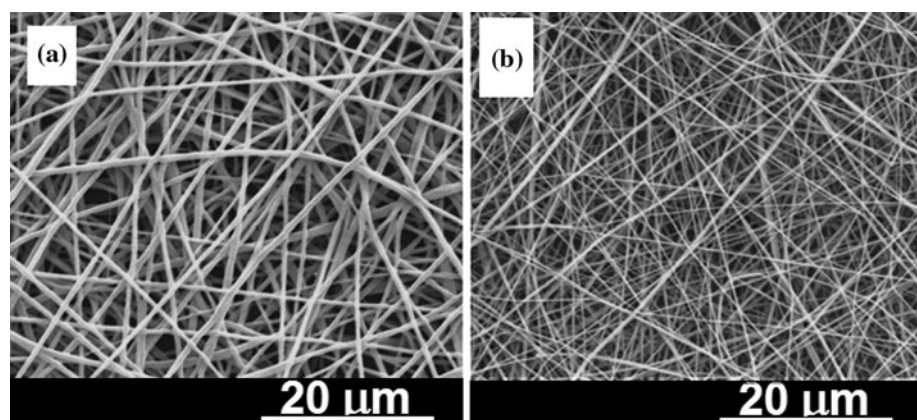
supplements, reconstituted in circular molds for the regeneration of diseased myocardium [187]. Our objective is to fabricate poly (L-lactic acid)-*co*-poly ( $\epsilon$ -caprolactone) (70:30) and polycaprolactone/collagen (1:1) NF scaffolds (Fig. 33) modified with vascular endothelial growth factor, stromal derived factor-1, and antioxidants (ascorbic acid) for the normal functioning of left ventricular (LV) function in MI.

#### *Injectable biomaterials for MI*

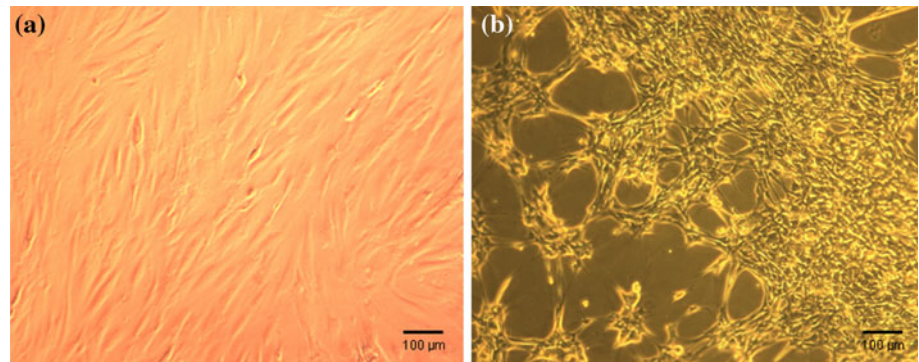
The greatest advantage of injectable biomaterials is the opportunity to mix the cells and biologically active molecules prior to implantation for the regeneration of myocardial tissue. These materials which are thermally responsive biopolymer hydrogels are particularly attractive for the injection therapy following MI since it is possible to inject the necessary fluid volumes from a syringe maintained below body temperature. Upon injection of the thermoresponsive polymers, the mechanical property of the materials at the injection site is to facilitate mechanical benefit to increase the thickness of cardiac wall of the infarcted heart. Bio-absorbable thermoresponsive polymer systems have been achieved by incorporation of biodegradable segments such as hyaluronic acid, alginate, fibrin, peptides, collagen, and poly(NIPAAm-*co*-AAc-*co*-

HEMPTMC) hydrogel [175, 188]. This in situ approach utilizes an injectable biomaterial to deliver cells directly into the infarct wall to increase cell survival. An injectable treatment is more minimally invasive than implanting in vitro-engineered tissue or an epicardial patch, and is, therefore, more clinically appealing. Venugopal et al. have fabricated an injectable photopolymerized gelatin hydrogels with mesenchymal stem cells/cardiomyocytes for MI (Fig. 34). Christman et al. [189] demonstrated improved cell survival when transplanted cells are delivered in an injectable biopolymer fibrin glue to induced neovascularization within the ischemic myocardium and reduced infarct expansion [189]. Ryu et al. [190] further demonstrated the beneficial effects of an injectable fibrin glue scaffold by injecting bone marrow mononuclear cells in the matrix [190]. Kofidis et al. [191] examined an in situ approach using Matrigel<sup>®</sup> to deliver mouse embryonic stem cells [191]. An LV pouch was formed, similar to their study using an in vitro approach, and the gel was injected into the infarcted area. They demonstrated improved LV function in those animals that received the Cell–Matrigel mixture compared to those that received either the biomaterial alone or cells-in-cell culture medium. Davis et al. [192] developed a novel injectable scaffold for myocardium using self-assembling peptides, which form NFs upon injection, creating a microenvironment that is suitable for

**Fig. 33** Electrospun NFs. **a** Poly (L-lactic acid)-*co*-poly ( $\epsilon$ -caprolactone) (10%, fiber diameter  $436 \pm 76$  nm). **b** Polycaprolactone/collagen (10%, fiber diameter  $267 \pm 56$  nm)



**Fig. 34** Cardiomyocytes and mesenchymal stem cells cultured in gelatin hydrogel (day 5). **a** Mesenchymal stem cells, **b** Rabbit cardiomyocytes



cell and vessel ingrowth [192]. After injection of the peptides alone into infarct, progenitor cells expressing endothelial cell markers and vascular smooth-muscle cells were recruited into the NFs. Such a noninvasive scaffold may prevent heart failure by increasing the mechanical strength of the infarct, thereby preventing remodeling and deterioration of cardiac function in a similar fashion to LV restraints. Overall, design and fabrication of a noninvasive catheter-based strategy of injectable bioresorbable implant biomaterials/cells into the infarct myocardium is the feasible way to prevent mechanical complications and heart failure to save patient's life.

### Summary

Before E-spinning was identified as a process for fabricating NFs, studies on nanotopographical effect on cellular response are mainly restricted to basic research with limited clinical applications. However, within the last decade, NFs have attracted attention both from researchers and clinicians due to the ease of fabrication. Today, tissue engineering and regeneration continues to dominate the use of E-spun NFs. Significant advances have been made in the construction of nerve and bone regenerative scaffold. Patterning of NF architecture by aligned, random, spiral form could fabricate biocompatible scaffolds for myocardial tissue engineering. Hybrid constructs combining biocompatible materials with stem cells as injectables are the successful noninvasive approaches for cardiac regeneration. Already, some clinical trials have been carried out to test the safety and efficacy of NFs. FDA has not given a clear definition on nanotechnology, and its long-term toxicity effects remain unknown. The unique characteristics of nanoparticles and nanomaterials are responsible for their toxicity and interaction with biological macromolecules within the human body. Nanotechnology has achieved tremendous progress in a relatively short time period in medical applications. Nanoceramics are commercially available as new bone grafts or as implant coating materials (i.e., nano-HA paste-Ostim<sup>®</sup> from Obernburg, Germany,

nano-beta-tricalcium phosphate-Vitoss from Orthovita, USA). Electrospun NFs' effects are greatly reduced due to their significant length and also not being able to cross the serous membrane. Numerous *in vivo* studies have yet to report migration of NFs away from the immediate vicinity. In the foreseeable future, biomedical devices made of NFs or with NF components can be expected.

### Drawbacks of electrospinning

Although E-spinning is established as a scalable process to fabricate random and aligned fibers of a wide range of organic and inorganic materials using multi-needle arrays and needle-less E-spinning introduced by Elmarco Inc. for a wide range of applications including the three types described here, it suffers from several limitations. Formation of nanosized fibers requires lower feed rate of the solution; which imposes severe drawbacks for their economic production at commercial scales compared to the conventional submicron fiber producing techniques such as melt blowing and island-in-the-sea processes. Although E-spinning has been scaled up at an industrial level and used commercially, notably in Donaldson high performance filters, the cost of producing them using E-spinning is still unattractive to compete with the larger diameter fibers. Other competing fiber spinning technologies such as melt blowing and island-in-the-sea process have shown to give greater yield. However, in terms of simplicity of producing long, continuous strands of relatively high quality NFs of uniform diameter, E-spinning is still the preferred technology.

Similar to many top-down techniques, E-spinning also suffers from the difficulty of achieving diameter of  $\leq 10$  nm. In other words, a continuous quantum wire using E-spinning has not been reported till today. The diameters of majority of E-spun polymeric fibers are still in the 100–500 nm range while inorganic NFs could be  $\sim 20$  nm in diameter which provide opportunities for quantum wires. While long strands of NFs can be easily fabricated using

E-spinning, 1D nanostructures with controlled aspect ratio are difficult to fabricate and often require post-spinning processes.

Although E-spun aligned NFs are consistently produced in the laboratory using simple modified setup, this has not been shown to be feasible for production at an industrial level. Extreme precise deposition of NFs for rapid prototyping to lay down structures using E-spinning has yet to be demonstrated due to the difficulty in controlling the E-spinning jet. Nevertheless, there has been steady progress in achieving precision E-spinning. Several advanced applications such as solar cells and sensors requires the 1D nanostructures to be patterned vertically on a substrate, which appears to be a major challenge for the E-spinning community. The difficulty in controlling the unsymmetrical bending required for fiber diameter reduction and removal of the momentum of the fibers upon reaching the collector substrates are issues that need to be addressed and solved.

### Concluding remarks

E-spinning has been emerging as an acceptable technology for fabrication of 1D nanostructures for a number of material systems including polymers, metals, inorganic non-metals such as carbon fibers, metal oxides, carbides, and organic–inorganic composites. The E-spinning timeline since its initial discovery and potential applications of E-spun NFs are presented in this article. Conventionally, the E-spinning process is a top-down nanofabrication technique; however, the E-spun polymeric NFs act as a template for the fabrication of 1D nanostructures of inorganic materials through bottom-up principles. E-spun NFs combine a number of physical properties such as high mechanical strength, high degree of flexibility, channeled charge transport, occurrence of partially depleted space charge region, strain-induced electronic properties, large specific surface area, tailorable crystallinity, high electron and thermal diffusivity, tailorable pore-distribution, and so on. Our laboratory has been involved in fabrication of E-spun polymeric, inorganic, and polymer–nanocomposite fibers in random, aligned, cross-aligned, sheaths, tubes, yarns, core/shell, and trilayer morphologies.

Owing to the never-ending need for cleaner energy, water, and regenerative medicine, E-spun NFs are used globally to find solutions for these issues. The guided electron transport, availability of space charge-depleted space charge region, and enhanced electron diffusivity of electrons were utilized to fabricate 3D with high photoelectric conversion efficiency in limited number of fabrication steps. Similar efficiencies were achieved otherwise, e.g., using nanoparticles, involving complex multi-step processes. Peculiar pore size distribution and tailorable

crystallinity made E-spun NF-based LIBs to perform longer durations without appreciable loss of capacity.

Owing to the adjustable pore size distribution by tailoring the fiber diameter and morphology, E-spun NF membranes are used in emerging separating technology in water filtration. The pore sizes of the membranes prepared could be adjusted by altering the E-spinning conditions and through surface modification techniques like plasma-induced grafting, interfacial polymerization, and surface coating. The water flux of the grafted E-spun NF membranes has been found to be higher than that of commercial membranes. Nanofiltration membrane has been fabricated through interfacial polymerization on the surface of the E-spun NF membranes. The composite membrane was able to separate approximately 80% of divalent salts and 60% of monovalent salts.

E-spinning is a simple technology to mimic the architecture of natural extracellular matrix, and higher-order constructs for regenerating tissues such as bone and nerve have also been fabricated using E-spinning. E-spinning can also be used to integrate NFs with existing medical devices such as stent, and innovative application of E-spun NFs have been explored in cardiac tissue engineering. While bone and nerve regenerative scaffold aims to bridge a critical size defect and injury, cardiac tissue engineering aims to design and fabricate noninvasive, non-immunogenic, and biocompatible cardiac patch/injectables for the repair of myocardial infarction.

It is worth noting here that the environmental/biological hazards of nanomaterials are a major issue for their application in regenerative medicine even though nanoceramics are commercially available as new bone grafts or as implant coating materials (i.e., nano-HA paste-Ostim<sup>®</sup> from Obernburg, Germany, nano-beta-tricalcium phosphate-Vitoss from Orthovita, USA). The smaller size of some nanomaterials, such as quantum dots, can easily permeate through the serous membrane and can cause hazardous effects depending on their chemistry. Much research is currently conducted worldwide on nanotoxicology. However, continuous network of E-spun nanofibrous structure imposes serious constraints to cross the serous membrane. Nevertheless, numerous *in vivo* studies have yet to report migration of NFs away from the immediate vicinity.

E-spinning is a technology that world researches and innovators are now realizing and taking advantage.

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